



New York City Council

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Finance Division

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Hearing on the Mayor's Fiscal Year 2011 Executive Budget

Department for the Aging

May 17, 2010

Committee on Finance

Hon. Domenic M. Recchia, Jr., Chair

Committee on Aging

Hon. Jessica Lappin, Chair

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Summary and Highlights

<i>Dollars in Thousands</i>	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	*Difference 2010 – 2011
Personal Services	\$56,545	\$54,086	\$55,305	\$52,721	(\$1,365)
Other than Personal Services	233,710	234,340	233,325	173,932	(60,408)
Department Total	\$290,255	\$288,426	\$286,630	\$226,653	(\$61,773)

**The difference of Fiscal 2010 Adopted compared to Fiscal 2011 Executive Plan funding.*

Fiscal 2011 Preliminary Plan Highlights

- **Home Care Program Reorganization.** The agency will reorganize homecare services to assess Medicaid eligibility, as DFTA's program is reserved for non-Medicaid eligible seniors. Those currently being served by DFTA who are Medicaid eligible will now be transferred to the Human Resources Administration (HRA) Homecare Program. This will save DFTA approximately \$10 million. (see p. 16-17)

Fiscal 2011 Executive Plan Highlights

- **50 Senior Centers Slated for Closure.** The agency will close 50 senior centers, which fall in at least one of the following categories. (see p. 8)
 - ✓ Operate part time (less than 5 days a week or less than 5 hours a day)
 - ✓ Serve fewer than 30 meals a day
 - ✓ Have chronic operational/structural issues

Fiscal 2010-2011 State Executive Budget Highlights

- **State Cut of Title XX Funding to DFTA.** Title XX is federal funding. There is no cut to the amount that the State is receiving from the federal government. The Fiscal 2010-2011 State Executive Budget proposed to allocate these federal funds differently to save State dollars. New York State receives approximately \$103 million annually in Title XX funding. In previous fiscal years, this \$103 million was split between mandatory services (i.e. adult protective services, child protective services, domestic violence etc) and discretionary services (i.e. senior services etc).

The Fiscal 2010-2011 State Executive Budget originally proposed that **all** Title XX funding be used to offset State and local Adult Protective and Domestic Violence costs, thus saving the State \$18 million. Therefore, all discretionary funds for senior services would have been eliminated. DFTA received \$25.2 million in Title XX funding for Fiscal 2010. If "fully" restored in the State Budget, DFTA would still receive \$7 million less than it did in Fiscal 2010, as the State will only restore \$18 million. Currently, the Senate has committed to restoring 100 percent of its portion. The Assembly has committed to restoring 75 percent of its portion. Until the State Budget for Fiscal 2011 has passed, DFTA will not know the deficit it is facing for Title XX funds, but estimates a loss of \$7 to \$11 million. As a result, DFTA

estimates the closure of senior centers, as these funds support senior centers, meals programs, transportation, and educational/recreational activities for seniors.

American Recovery and Reinvestment Act (ARRA) Funding Highlights

According to testimony from Deputy Mayor Gibbs' office, DFTA received a total of \$4.1 million in stimulus funding for Fiscal 2010 in two categories: nutrition/meals programs and job training for low-income seniors. The breakdown of these funds, as reported by the Administration, is outlined below.

- **Direct Funding for Nutrition and Meals Programs.** DFTA received a total of \$2.8 in ARRA funding for meals. The first funding stream allocated \$1.9 million for congregate meals provided in senior centers. The second provided \$936,600 for home delivered meals. These two grants enabled DFTA to provide 544,757 meals to seniors during the second half of Calendar Year 2009. The funds were used only for the food and disposable costs of meals, not personnel costs. Therefore, no jobs were saved or created with these funds. All ARRA funds for the home-delivered meals program were spent, and all but \$9,000 of the ARRA funds for congregate meals was spent.
- **Senior Community Service Employment Program (Title V).** DFTA received a total of \$1.3 million in ARRA funding to support job training for low-income seniors. This funding provided an additional 112 Title V slots. Title V workers receive training at work sites in clerical work, customer service, human services, healthcare and retail, with the goal of being placed in employment upon completion of their training.

Department for the Aging

The Department for the Aging (DFTA) administers a wide range of programs to enhance independence and quality of life of the City’s elderly population. The Department’s services include the operation of senior centers, provision of home delivered and congregate meals, employment counseling and placement, case management, social and legal services and home care services. DFTA serves as an advocate for the City’s elderly population through legislative activity and public policy initiatives.

Key Public Services Areas
<ul style="list-style-type: none"> Promote independence and opportunities for older New Yorkers. Assist seniors in accessing existing resources and educate the general public about benefits, entitlements and information for older New Yorkers and their families.

Critical Objectives
<ul style="list-style-type: none"> Increase utilization of nutrition and socialization opportunities. Continue to provide home delivered meals and home care services. Increase knowledge among seniors and professionals about personal safety and services for elderly crime victims. Provide employment opportunities for seniors. Increase knowledge about benefits and entitlements available to eligible seniors. Increase supportive services to caregivers.

SOURCE: Mayor’s Management Report

Department for the Aging Financial Summary

Dollars in Thousands

	2008 Actual	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	*Difference 2010–2011
Budget by Program Area						
Senior Centers & Meals	\$135,939	\$136,817	\$140,345	\$137,525	\$106,541	(\$33,804)
Senior Services	45,508	36,874	35,603	38,858	20,295	(15,308)
Case Management	20,305	22,466	21,631	21,848	21,526	(105)
Senior Employment & Benefits	8,074	11,804	9,958	13,391	9,104	(854)
Admin. & Central Support	53,990	56,545	54,086	55,305	52,721	(1,365)
Homecare	26,670	25,749	26,803	21,703	16,466	(10,337)
TOTAL	\$290,486	\$290,255	\$288,426	\$288,630	\$226,653	(\$61,773)
Funding						
City Funds	N/A	N/A	\$167,500	\$162,300	\$108,252	(\$59,248)
<i>Memo: Council Funds</i>	N/A	N/A	44,061	N/A	N/A	N/A
Federal – CD	N/A	N/A	2,495	2,495	2,495	0
Federal – Other	N/A	N/A	80,991	83,875	77,797	(3,194)
Intra-City	N/A	N/A	472	1,282	778	306
Other Categorical	N/A	N/A	0	122	0	0
State	N/A	N/A	36,968	38,556	37,331	363
TOTAL	\$290,486	\$290,255	\$288,426	\$288,630	\$226,653	(\$61,773)
Positions						
Fulltime Positions	345	339	311	311	311	-
TOTAL	345	339	311	311	311	-

*The difference of Fiscal 2010 Adopted compared to Fiscal 2011 Executive Plan funding.

Council Funding

City Council funding provides a large portion of DFTA's annual City-funds operating budget. The Council has routinely provided supplemental operating funds for rent and equipment, transportation, and food costs. In addition, the Council has funded a number of initiatives to benefit seniors in the DFTA budget. In addition to the above categories, the Council provided \$13.3 million to restore PEGs in the 2010 Adopted Budget, as noted below.

FY 2010 Council Changes at Adoption	
<i>Dollars in Thousands</i>	
Senior Centers & Meals	
Senior Centers PEG Restoration	\$5,000
Senior Centers -- Home-Delivered Meals	4,500
Space Costs for Senior Centers	1,950
Transportation Operating Costs	2,550
Food Costs for Senior Center Meals	4,550
City Meals on Wheels	1,000
Subtotal	\$19,550
Senior Services	
Elder Abuse Prevention PEG Restoration	\$849
Intergenerational Programs PEG Restoration	850
Social Adult Day Care PEG Restoration	2,000
Borough Presidents' Discretionary funding PEG Restoration	6,100
NORC Supportive Service Program	1,000
Healthy Aging Initiative	1,100
Information and Referral Contracts	1,500
Subtotal	\$13,399
Local Initiatives	\$11,112
TOTAL	\$44,061

Capital Program

The Department's ten-year capital program totals approximately \$54 million, and includes two major components: Electronic Data Processing at \$17.6 million and Building Reconstruction at \$36.8 million. DFTA's primary capital program goals include rehabilitation of existing senior centers and information technology (IT) upgrades throughout the senior services system. Improvements to senior centers include fire suppression systems, heat and hot water systems, and renovations for handicapped accessibility. IT upgrades include the creation of computer labs, ensuring that high speed Internet connections are available at all DFTA-funded senior centers.

FY 2010 Adopted Capital Commitment Plan

Dollars in thousands

	2010	2011	2012	2013	2014-2019
Electronic Data Processing	\$9,607	\$1,545	\$500	\$1,918	\$4,144
Building Reconstruction	21,482	1,247	736	3,932	9,465
TOTAL	\$31,089	\$2,792	\$1,236	\$5,850	\$13,609

Capital Budget Summary

The May 2010 Capital Commitment Plan includes \$26.5 million in Fiscals 2011-2014 for the Department for the Aging (including City and Non-City funds). This represents less than one percent of the City's total \$28.6 billion Executive Plan for Fiscals 2011-2014. The agency's May Commitment Plan for Fiscals 2011-2014 remains unchanged from the September Commitment Plan.

Over the past five years the Department for the Aging has only committed an average of 11.68 percent of its annual capital plan. Therefore, it is assumed that a large portion of the agency's Fiscal 2010 capital plan will be rolled into Fiscal 2011 thus greatly increasing the size of the Fiscal 2011-2014 capital plan. Since adoption last June, the Capital Commitment Plan for Fiscal 2010 has decreased from \$31 million to \$18 million, a reduction of \$13 million or 42 percent.

Currently the Department for the Aging's appropriations total \$34.5 million in city-funds for Fiscal 2010. These appropriations are to be used to finance the agency's \$14.3 million city-funded Fiscal 2010 capital commitment program. The agency has \$20.2 million or over 58 percent more funding than it needs to meet its entire capital commitment program for the current fiscal year.

Program Areas

Senior Centers and Meals

The core of DFTA's service portfolio is the Department's citywide network of 328 senior centers. In addition to supporting 9.5 million congregate meals annually, senior centers offer older New Yorkers opportunities for socialization, recreation, and participation in a wide array of activities designed to improve their health and quality of life. Senior Centers provide educational programs, nutrition and health services, exercise programs, and recreational programming in community-based settings. Most senior centers provide both congregate and home delivered meals to seniors. The proposed budget for senior centers and meals for Fiscal 2011 is approximately \$33.8 million less than the Fiscal 2010 Adopted Budget. This can be attributed to the fact that a large portion of the City funds in this program area are from the City Council. As Council funding is renegotiated annually and allocated on a one-time basis, it is not included in the Fiscal 2011 Executive Budget. Additionally, \$2.8 million in ARRA (stimulus) funding received in Fiscal 2010, has also been cut for Fiscal 2011.

<i>Dollars in Thousands</i>	2008 Actual	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	*Difference 2010 vs. 2011
Spending						
Other than Personal Services						
Contractual Services	\$135,936	\$136,817	\$138,549	\$137,525	\$106,427	(\$32,122)
Fixed and Misc Charges	3	0	0	0	0	0
Other Services and Charges	0	0	1,796	0	114	(1,682)
TOTAL	\$135,939	\$136,817	\$140,345	\$137,525	\$106,541	(\$33,804)
Funding						
City Funds	N/A	N/A	\$80,090	\$76,249	\$49,126	(\$30,964)
Federal – CD	N/A	N/A	2,000	2,000	2,000	0
Federal – Other	N/A	N/A	47,355	47,355	44,515	(2,840)
State	N/A	N/A	10,900	11,921	10,900	0
TOTAL	\$135,939	\$136,817	\$140,345	\$137,525	\$106,541	(\$33,804)

*The difference of Fiscal 2010 Adopted compared to Fiscal 2011 Executive Plan funding.

Council Initiatives and Funding

The Council provided funding for the following initiatives in the Senior Centers and Meals program area for FY 2010:

FY 2010 Council Funding

Dollars in Thousands

Senior Centers & Meals

Senior Centers PEG Restoration	\$5,000
Senior Centers --Home-Delivered Meals	4,500
Space Costs for Senior Centers	1,950
Transportation Operating Costs	2,550
Food Costs for Senior Center Meals	4,550
City Meals on Wheels	1,000
TOTAL	\$19,550

- **Senior Centers PEG Restoration.** This \$5 million allocation restored direct funding to DFTA for senior centers, as part of an across the board cut in the Fiscal 2010 PEG program.
- **Senior Centers – Home Delivered Meals.** This \$4.5 million allocation restored direct funding to DFTA to support those senior centers whose budgets were most adversely affected by the recent DFTA Home Delivered Meals RFP.
- **Space Costs for Senior Centers.** This \$1.9 million allocation is a Council initiative which supports space/facility costs at senior centers.
- **Transportation Operating Costs.** This \$2.5 million allocation is a Council initiative which supports transportation costs at senior centers. This funding pays for fuel, insurance, and maintenance costs for senior center vans which transport seniors to medical/personal appointments, recreational activities, and other social service sites.
- **Food Costs for Senior Center Meals.** This \$4.5 million allocation is a Council initiative which supports senior centers by adding 35 cents per meal for DFTA’s congregate and home delivered meals programs. This initiative assists DFTA in providing approximately 13 million meals annually.
- **City Meals on Wheels.** This \$1 million allocation is a Council initiative which supports CityMeals on Wheels, which is an organization that provides home-delivered meals to seniors on the weekends and on holidays. This funding is used to reduce waiting lists for home delivered meals.

Performance Measures

	FY 07	FY 08	FY 09	FY 10 4-Month Actual	Target FY 11
Senior centers operating at a minimum of 90% capacity	56%	55%	52%	62%	N/A
Senior center lunches served	7,009,500	7,025,805	6,987,646	2,393,895	7,544,254
Home-delivered meals	3,740,621	4,210,538	3,936,718	1,263,721	4,382,789

DFTA’s Senior Center Modernization Plan

In November 2008, DFTA issued a modernization plan with three major components: case management, home delivered meals, and senior centers, which called for the creation of 15-30 comprehensive wellness centers, in an effort to regionalize senior center services throughout the City. As a result, all current senior centers and these new larger centers would be required to apply for funding through a Request for Proposals (RFP) process. The current financial crisis called into question the City’s ability to fund new larger centers, while maintaining all the neighborhood senior centers that provide vital core services, however, the Administration planned to move forward.

This new plan would have forced current neighborhood senior centers to close, resulting in a loss of core services at remaining senior centers, leaving centers with unbalanced funding and programming. Furthermore, it would have given senior centers unclear information on how to address transportation issues or social work services, among a number of other services seniors rely on. The plan proposed \$117 million in baseline funding for senior centers, up from \$94 million in the previous year. However, the majority of that figure did not represent new funding, but rather money that was allocated by the City Council and Borough Presidents. As a result, there would not have been enough money per region to retain the current number of senior centers.

Funding distribution would have been further complicated by the financial challenges facing the New York City Housing Authority (NYCHA), whose facilities house over 40 senior centers. Lastly, the Administration's own RFP implied that up to 85 local community senior centers would possibly close as a result of this process.

Although the Administration and the City Council agree on the overall vision of modernization, which intends to offer a comprehensive health and wellness approach promoting social, physical and mental wellness, it is for the reasons outlined above that the Council was successful in having this RFP rescinded. As per Commissioner Lilliam Barrios-Paoli, as of now, there will not be an RFP for existing senior centers. The Mayor's Office of Contracts has extended the contracts for all existing centers for one year. Negotiations for the citywide modernization of senior centers continue under the leadership of Commissioner Barrios-Paoli, in partnership with the City Council, seniors, senior center directors, senior advocates, and community based senior services programs.

Results of DFTA's Home Delivered Meals RFP

Conversely, though the senior center RFP portion of DFTA's modernization plan was rescinded, RFPs for both case management and home delivered meals were released, and awards were made.

DFTA began its case management transition on April 1, 2008 and continued through June 30, 2008, reducing 32 contracts to 23. The home delivered meals RFP was released on May 1st, 2008, and DFTA awarded 20 contracts in December, 2008. Although the same number of meals continue to be served, this is a large reduction from the previous 93 home delivered meals contracts. Advocates and service providers have expressed concern regarding multiple issues with both transitions including high caseloads in understaffed programs, lack of adequate funding, and new service areas being too large.

The competitive contracting out of home delivered meals (HDM) disrupted funding for many senior centers. Several centers that had been providing both congregate and home-delivered meals did not bid on or did not win HDM contracts. DFTA reduced funding to these centers to account for the end of their HDM service; however, since costs were often shared across both congregate and HDM services, the reductions jeopardized the centers' ability to continue to provide congregate meals at the same level as before. With a combination of \$1.9 million in ARRA (stimulus) funds, and \$9.5 million restored by the Council, DFTA was able to continue their congregate meal services in 2010, at the same level as 2009.

Fiscal 2011 Executive Budget Actions

The Executive Budget for DFTA includes a \$4.2 million cut, as a result of 50 senior center closures. DFTA used the following criteria to choose centers.

- ✓ Operate part time (less than 5 days a week or less than 5 hours a day)
- ✓ Serve fewer than 30 meals a day
- ✓ Have chronic operational/structural issues

The majority of the centers slated for closure (33 out of the 50) serve fewer than 30 meals a day.

Fiscal 2011 State Actions

As noted in the "Summary and Highlights" section of this report, the Fiscal 2010-2011 State Executive Budget proposal to reduce Title XX funding for senior services, will severely impact DFTA's senior centers. In Fiscal 2010 DFTA received approximately \$25.2 million in Title XX funding. As DFTA projects a shortfall

of \$7 to \$11 million in Title XX funds, senior centers, meals, transportation, and educational/recreational activities, are all in jeopardy for Fiscal 2011.

Fiscal 2011 Federal Actions

As noted in the “Summary and Highlights” section of this report, in Fiscal 2010, DFTA received a total of \$2.8 in ARRA (stimulus) funding for meals (\$1.9 million for congregate meals and \$936,600 for home delivered meals). As this was one-time funding and has not been restored for Fiscal 2011, an impact on the number of meals served can be expected.

Senior Services

In this program area are a variety of services to seniors, including caregiver programs, social services and transportation, elder abuse services, the congregate services initiative, extended services, intergenerational funding, legal services, social adult day services, and funding for Naturally Occurring Retirement Communities (NORC). The proposed budget for senior services for Fiscal 2011 is approximately \$15.3 million less than the Fiscal 2010 Adopted Budget. This can be attributed to the fact that a large portion of the City funds in this program area are from City Council initiatives and discretionary funding. As Council funding is renegotiated annually and allocated on a one-time basis, it is not included in the Fiscal 2011 Executive Budget.

<i>Dollars in Thousands</i>	2008 Actual	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	*Difference 2010 vs. 2011
Spending						
Personal Services						
Other Salaried and Unsalariated	\$0	\$0	\$0	\$5	\$0	\$0
Subtotal	\$0	\$0	\$0	\$5	\$0	\$0
Other than Personal Services						
Contractual Services	\$45,508	\$36,872	\$35,603	\$38,842	\$20,295	(\$15,308)
Other Services and Charges	0	0	0	11	0	0
Supplies and Materials	0	2	0	0	0	0
Subtotal	\$45,508	\$36,874	\$35,603	\$38,853	\$20,295	(\$15,308)
TOTAL	\$45,508	\$36,874	\$35,603	\$38,858	\$20,295	(\$15,308)
Funding						
City Funds	N/A	N/A	\$26,830	\$29,406	\$10,700	(\$16,130)
Federal – CD	N/A	N/A	362	362	362	0
Federal – Other	N/A	N/A	7,875	8,268	8,411	536
Intra-City	N/A	N/A	0	214	286	286
State	N/A	N/A	536	608	536	0
TOTAL	\$45,508	\$36,874	\$35,603	\$38,858	\$20,295	(\$15,308)

*The difference of Fiscal 2010 Adopted compared to Fiscal 2011 Executive Plan funding.

Council Initiatives and Funding

The Council provided funding for the following initiatives in the Senior Services program area for FY 2010:

FY 2010 Council Funding

Dollars in Thousands

Senior Services

Elder Abuse Prevention PEG Restoration	\$849
Intergenerational Programs PEG Restoration	850
Social Adult Day Care PEG Restoration	2,000
Borough Presidents' Discretionary funding PEG Restoration	6,100
NORC Supportive Service Program	1,000
Healthy Aging Initiative	1,100
Information and Referral Contracts	1,500
TOTAL	\$13,399

As indicated in the chart above, the City Council was successful in restoring funding for elder abuse, intergenerational programs, and social adult day care.

- **Elder Abuse Prevention PEG Restoration.** This \$849,000 allocation restored funding for elder abuse prevention programs, slated for elimination in the Fiscal 2010 PEG program. DFTA contracts with community-based service providers to provide direct services to victims of elder abuse, as well as develop prevention activities. Service providers provide long-term case management services, including securing orders of protection; providing long-term counseling; accompanying victims to court; examining powers of attorney and other legal documents; working with police to place victims on high propensity lists; and working closely with District Attorneys to aid in prosecution of cases.
- **Intergenerational Programs PEG Restoration.** This \$850,000 allocation restored funding for intergenerational programs, connecting seniors with youth for various educational and recreational activities, slated for elimination in the Fiscal 2010 PEG program. Services include high school students visiting, delivering meals to and shopping for home-bound elders; retired university faculty tutoring junior high school students; senior prejudice reduction activities with elementary school students; and seniors and students planning and producing an oral history/photography project depicting neighborhood life.
- **Social Adult Day Care PEG Restoration.** This \$2 million allocation restored funding for social adult day care programs, slated for elimination in the Fiscal 2010 PEG program. Social adult day services are structured, comprehensive programs that provide seniors with personal care, nutrition, socialization, supervision and monitoring in a protective setting during the day.
- **Borough Presidents' Discretionary Funding PEG Restoration.** This \$6.1 million allocation restored funds for Borough Presidents' discretionary spending, slated for elimination in the Fiscal 2010 PEG program. This funding provided budgetary support to senior centers citywide for operating costs, meals, and various social services.
- **Naturally Occurring Retirement Communities (NORC) Supportive Service Program.** This \$1 million allocation provided additional funding for NORCs. Naturally Occurring Retirement Communities (NORCs) are on-site collaborations among housing entities, social service providers, and healthcare networks which, by providing such critical services as case assistance and healthcare management, allow seniors to remain in their own homes. In addition to the \$1 million from the Council, the Administration provides \$5.5 million in funding for 28 NORCs in the Bronx, Brooklyn, Manhattan and Queens, collectively serving approximately 45,000 seniors. The NORC program model has gained national recognition, putting New York City in the forefront of adapting programs to meet the changing needs of the elderly population.
- **Healthy Aging Initiative.** This \$1.1 million Council initiative provided funding in all 51 Council districts, for various health and wellness programs in senior centers. Funding was used for the following programs.
 - **Healthy Lifestyles:** Programs that promote healthy behavior such as exercise, smoking cessation, and nutrition education
 - **Early Detection of Disease:** Programs that detect and address the onset of chronic disease
 - **Immunization:** Programs that assist at-risk seniors receive vaccines for illnesses including pneumonia or influenza
 - **Injury Prevention:** Programs that promote strength training to prevent falls and other injuries, through education and exercise

- Self Management Techniques: Programs to assist seniors with stress management and chronic pain management
- **Information and Referral Contracts.** This \$1.5 million allocation restored funding for information and referral contracts, originally slated for elimination in the Fiscal 2009 PEG program. This funding supported 8 programs which perform various case management functions including providing information and referral to available City services and benefits to seniors.

Performance Measures

	FY 07	FY 08	FY 09	FY 10 4-Month Actual	Target FY 11
Caregivers who received casework services or training	3,690	6,278	7,746	3,315	N/A
Caregivers who received supportive services	7,553	7,495	6,414	2,685	N/A
Average processing time for SCRIE applications (days)	N/A	44	48	N/A	N/A

Case Management Services

Case management agencies provide assessment and link homebound seniors with services including home delivered meals, homecare, information and referral, and other supportive programs. This also includes monitoring and coordinating programs, providing supportive counseling, as well as, assistance with light housekeeping, shopping and laundry.

DFTA contracts with 23 case management agencies that perform comprehensive in-home assessments of homebound seniors in order to link them with supportive services including home delivered meals and home care, as well as a variety of other benefits for which they may be eligible. Seniors access case management through referrals from senior centers, home delivered meal providers, hospitals and other community-based social service and health care agencies. The proposed budget for case management services for Fiscal 2011 remains relatively unchanged when compared to the Fiscal 2010 Adopted Budget.

<i>Dollars in Thousands</i>	2008 Actual	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	*Difference 2010 vs. 2011
Spending						
Other than Personal Services						
Contractual Services	\$20,305	\$22,466	\$21,631	\$21,848	\$21,526	(\$105)
TOTAL	\$20,305	\$22,466	\$21,631	\$21,848	\$21,526	(\$105)
Funding						
City Funds	N/A	N/A	\$11,575	\$11,792	\$11,470	(\$105)
State	N/A	N/A	\$10,056	\$10,056	\$10,056	0
TOTAL	\$20,305	\$22,466	\$21,631	\$21,848	\$21,526	(\$105)

**The difference of Fiscal 2010 Adopted compared to Fiscal 2011 Executive Plan funding.*

Performance Measures

	FY 07	FY 08	FY 09	FY 10 4-Month Actual	Target FY 11
Hours of home care services provided	1,590,952	1,746,742	1,534,705	551,302	1,721,600
Contracted cost per hour of home care service (\$)	\$15.55	\$16.35	\$17.07	\$15.15	N/A

Senior Employment and Benefits

This program area mainly funds employment programs that subsidize jobs for seniors. It also supports benefit programs which assist seniors with home energy costs through the Home Energy Assistance Program (HEAP), and the Weatherization Referral and Packaging Program (WRAP). Additionally it provides funding for the Health Insurance Counseling and Advocacy Program (HICAP) which assist seniors with obtaining health insurance that is best suited to their individual needs. The proposed budget for senior employment and benefits for Fiscal 2011 is approximately \$854,000 less than the Fiscal 2010 Adopted Budget. This can be attributed to the loss of Federal ARRA (stimulus) funding for senior employment in Fiscal 2011.

<i>Dollars in Thousands</i>	2008 Actual	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	*Difference 2010 vs. 2011
Spending						
Personal Services						
Additional Gross Pay	\$70	\$83	\$74	\$72	\$74	\$0
Fringe Benefits	0	0	367	200	367	0
Full-Time Salaried – Civilian	1,156	1,194	1,363	1,182	1,380	17
Other Salaried and Unsalari ed	4,125	4,560	5,249	5,972	4,552	(697)
Subtotal	\$5,351	\$5,837	\$7,053	\$7,426	\$6,373	(\$680)
Other than Personal Services						
Contractual Services	\$2,137	\$3,445	\$2,485	\$4,497	\$2,322	(\$163)
Fixed and Misc Charges	1	1	1	1	1	0
Other Services and Charges	425	366	275	460	275	0
Property and Equipment	43	2,016	5	827	5	0
Supplies and Materials	117	139	139	180	128	(11)
Subtotal	\$2,723	\$5,967	\$2,905	\$5,965	\$2,731	(\$174)
TOTAL	\$8,074	\$11,804	\$9,958	\$13,391	\$9,104	(\$854)
Funding						
City Funds	N/A	N/A	\$508	\$816	\$524	\$16
Federal – Other	N/A	N/A	9,416	11,904	8,526	(890)
Intra City	N/A	N/A	0	494	20	20
Other Categorical	N/A	N/A	0	86	0	0
State	N/A	N/A	34	91	34	0
TOTAL	\$8,074	\$11,804	\$9,958	\$13,391	\$9,104	(\$854)

*The difference of Fiscal 2010 Adopted compared to Fiscal 2011 Executive Plan funding.

Performance Measures

	FY 07	FY 08	FY 09	FY 10 4-Month Actual	Target FY 11
Seniors trained for unsubsidized employment	268	305	308	173	N/A
Trainees placed in unsubsidized employment	188	185	161	35	N/A

Administration and Central Agency Support

Personnel, supplies, and support contracts provide for administration and program oversight. Certain contract agency expenses, including insurance, facility leases, and utilities, are paid directly by the City on behalf of providers. The proposed budget for administration and central agency support for Fiscal 2011 is approximately \$1.3 million less than the Fiscal 2010 Adopted Budget. This is due to a decrease in planned spending of City funding for this area.

<i>Dollars in Thousands</i>	2008 Actual	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	*Difference 2010 vs. 2011
Spending						
Personal Services						
Additional Gross Pay	\$709	\$963	\$95	\$105	\$99	\$4
Fringe Benefits	0	0	42	0	42	0
Full-Time Salaried – Civilian	18,889	18,993	19,045	19,645	19,768	723
Other Salaried and Unsalaries	1,261	1,316	989	1,104	1,001	12
Overtime - Civilian	16	23	3	3	3	0
P.S. Other	(4)	(4)	0	0	0	0
Subtotal	\$20,871	\$21,291	\$20,174	\$20,857	\$20,913	\$739
Other than Personal Services						
Contractual Services	\$3,480	\$2,739	\$2,945	\$2,687	\$1,948	(\$997)
Fixed and Misc Charges	16,738	18,950	17,102	18,178	16,463	(639)
Other Services and Charges	11,493	12,441	12,997	12,759	12,529	(468)
Property and Equipment	615	589	333	312	333	0
Supplies and Materials	793	535	535	512	534	0
Subtotal	\$33,119	\$35,254	\$33,912	\$34,448	\$31,808	(\$2,104)
TOTAL	\$53,990	\$56,545	\$54,086	\$55,305	\$52,721	(\$1,365)
Funding						
City Funds	N/A	N/A	\$33,301	\$33,941	\$31,574	(\$1,727)
Federal -- CD	N/A	N/A	133	133	133	0
Federal -- Other	N/A	N/A	16,345	16,348	16,345	0
Intra City	N/A	N/A	172	274	172	0
Other Categorical	N/A	N/A	0	36	0	0
State	N/A	N/A	4,135	4,573	4,497	362
TOTAL	\$53,990	\$56,545	\$54,086	\$55,305	\$52,721	(\$1,365)

*The difference of Fiscal 2010 Adopted compared to Fiscal 2011 Executive Plan funding.

Performance Measures

None reported.

Home Care Services

The Homecare Program provides assistance to low-income frail seniors with daily chores and personal care to allow them to safely remain in their homes. This may include housekeeping and personal care, meal preparation, companionship, supervision of medications and doctor’s visits, referrals to legal and financial experts, as well as other necessary services.

The Department provides home care services to approximately 4,500 elderly residents throughout the City. \$27 million provides services to low-income frail elderly, who are above the Medicaid eligibility threshold, to safely remain in their homes by providing assistance with daily chores and personal care. DFTA also provides emergency homecare to enable elderly persons to be discharged from hospitals or other facilities before other home care arrangements, including Medicaid or Medicare services, have been put into place.

The proposed budget for home care services for Fiscal 2011 is approximately \$10.3 million less than the Fiscal 2010 Adopted Budget. This is a result of the Fiscal 2011 PEG program, which includes one cut to this program area.

<i>Dollars in Thousands</i>	2008 Actual	2009 Actual	2010 Adopted	2010 Exec Plan	2011 Exec Plan	*Difference 2010 vs. 2011
Spending						
Other than Personal Services						
Contractual Services	\$26,670	\$25,749	\$26,803	\$21,703	\$16,466	(\$10,337)
TOTAL	\$26,670	\$25,749	\$26,803	\$21,703	\$16,466	(\$10,337)
Funding						
City Funds	N/A	N/A	\$15,195	\$10,095	\$4,858	(\$10,337)
State	N/A	N/A	300	300	300	0
Intra-City	N/A	N/A	11,308	11,308	11,308	0
TOTAL	\$26,670	\$25,749	\$26,803	\$21,703	\$16,466	(\$10,337)

**The difference of Fiscal 2010 Adopted compared to Fiscal 2011 Executive Plan funding.*

Performance Measures

	FY 07	FY 08	FY 09	FY 10 4-Month Actual	Target FY 11
Total recipients of home care services (annual)	NA	NA	6,064	N/A	N/A
Hours of home care services provided	1,590,952	1,746,742	1,534,705	551,302	1,721,600
Contracted cost per hour of home care	\$15.55	\$16.35	\$17.07	\$15.15	N/A

Fiscal 2011 Preliminary Plan Actions

- Home Care Reorganization.** DFTA's State funded Homecare Program -- Expanded In Home Services for the Elderly Program (EISEP) -- requires a 25 percent City tax-levy match. According to DFTA, the agency has been matching State funds in excess of approximately \$10 million annually. To achieve savings, DFTA will now cut this excess \$10 million. As a result of this cut, the agency will reorganize home care services to assess Medicaid eligibility, as DFTA's program is reserved for non-Medicaid eligible seniors. Those currently being served by DFTA who are Medicaid eligible will now be

transferred to the Human Resources Administration (HRA) Home Care Program. According to DFTA, approximately 20 percent of their current clientele is enrolled in the Medicaid program or likely to be Medicaid eligible. Collaboration between HRA and DFTA found that about 700 DFTA home care clients (16 percent) currently receive Medicaid and approximately 300 others (7 percent) receive food stamps and therefore are likely to be Medicaid eligible. DFTA's estimate assumes that 900 of these 1,000 clients will ultimately be transferred.

Additionally, according to the Mayor's Office of Management and Budget (OMB), DFTA has over 100 seniors currently on Medicaid on its home care waiting list. Under the revised program these individuals will be able to move to HRA home care after receiving the required medical assessment, rather than remain on the waiting list until a DFTA slot becomes available. These individuals comprise 17 percent of the current wait list. HRA will also work to ensure that any seniors referred will be made aware of all the community based long term care options for which they might qualify, including the Long Term Home Health Care Program and the Managed Long Term Care program. Lastly, unlike DFTA which has a 20 hour cap on home care service hours, there is no cap on the number service hours provided at HRA. Hours provided to each senior will be based on individual need.

The agency claims that no one currently receiving DFTA home care services will be without home care after this transfer of seniors to HRA. The full impact of this reorganization remains to be seen as seniors may be linked with different providers (home care workers) through the HRA program.

Appendix A: Budget Actions Since Fiscal Year 2010 Adoption

<i>Dollars in Thousands</i>	FY 2010			FY 2011		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as per the June 2009 Plan	\$167,500	\$120,925	\$288,425	\$122,475	\$117,216	\$239,691
Program to Eliminate the Gap (PEGs)						
Home Care Reorganization	(\$5,100)	\$0	(\$5,100)	(\$10,274)	\$0	(\$10,274)
Senior Center Closings	(4,238)	0	(4,238)	(4,238)		(4,238)
Total, PEGs	(\$9,338)	\$0	(\$9,338)	(\$14,512)	\$0	(\$14,512)
Other Adjustments						
Collective Bargaining	\$377	\$0	\$377	\$377	\$0	\$377
Lease Adjustment	20	0	20	0	0	0
Technical Adjustments	4,002	3,460	7,462	0	1,185	1,185
HIICAP Increase	0	51	51	0	0	0
SNAP Increase	0	1,065	1,065	0	0	0
NORC Health Plus	0	338	338	0	0	0
WRAP Carryover	0	492	492	0	0	0
Heat, Light, and Power	(261)	0	(261)	(88)	0	(88)
Total, Other Adjustments	\$4,138	\$5,406	\$9,544	\$289	\$1,185	\$1,474
Total Plan Changes	(\$5,200)	\$5,406	\$206	(\$14,223)	\$1,185	(\$13,038)
Agency Budget as per the Fiscal 2011 Executive Plan	\$162,300	\$126,331	\$288,631	\$108,252	\$118,401	\$226,653

Appendix B: Reconciliation of Program Areas to Units of Appropriation

Dollars in thousands

	Personal Services		Other Than Personal Services		Grand Total
	001	002	003	004	
Administration & Contract Agency Support	\$8,375	\$12,539	\$30,945	\$863	\$52,721
Case Management			\$21,526		\$21,526
Homecare			\$16,466		\$16,466
Senior Centers and Meals			\$106,541		\$106,541
Senior Employment & Benefits		\$6,373	\$2,218	\$512	\$9,104
Senior Services		\$0	\$20,295		\$20,295
Grand Total	\$8,375	\$18,912	\$197,991	\$1,376	\$226,653