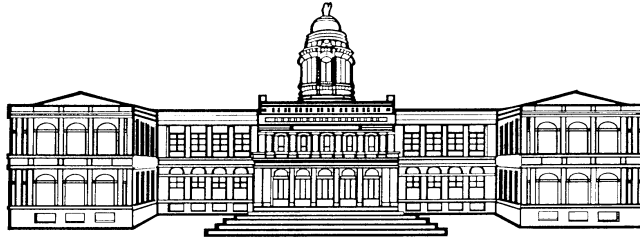


New York City Council



BUDGET REPORT

Finance Division

March 2009

***Analysis of the
Fiscal 2010 Preliminary Budget
and
Fiscal 2009 Preliminary Mayor's Management Report
for the
Department of Transportation***

Thursday, March 12, 2009

Hon. Christine C. Quinn
Speaker

Hon. David I. Weprin, Chair
Committee on Finance

Hon. John C. Liu, Chair
Committee on Transportation

Preston Niblack, Director

Jeffrey Rodus, First Deputy Director

Jonathan Rosenberg, Deputy Director

Chima Obichere, Supervising Financial
Analyst

PREFACE

On March 12, 2009, at 12:45 pm., the Committee on Transportation, chaired by the Hon. John C. Liu, will hold a hearing on the Mayor's Fiscal 2010 Preliminary Budget and Fiscal 2009 Preliminary Mayor's Management Report for the Department of Transportation.

Section 236 of the New York City Charter requires the Mayor to submit by January 16th a preliminary budget for the upcoming fiscal year.^a In addition, under section 12 of the City Charter, the Mayor must make public and submit to the Council by January 30th the Preliminary Mayor's Management Report (PMMR) for the current fiscal year.^b Among other things, the PMMR must contain "proposed program performance goals and measures for the next fiscal year reflecting budgetary decisions made as of the date of submission of the preliminary budget."^c The Charter also requires the Council to hold hearings on the preliminary budget and to submit recommendations to the Mayor by March 25th.^d This year, the Council will hold joint hearings on the Fiscal 2010 Preliminary Budget and the Fiscal 2009 Preliminary Mayor's Management Report.

Beginning with the Fiscal Year 2008 Adopted Budget, the Council and the Mayor's Office of Management and Budget agreed to an additional budget presentation, referred to by OMB as the budget function analysis, and by the Council as the program budget. Two agencies were initially presented in the program budget form. Beginning with the January 2008 Financial Plan (Fiscal 2009 Preliminary Budget), a total of 16 agencies are now in program budget form. The Department of Transportation is a program budget agency.

This report was prepared by Chima Obichere, Supervising Legislative Financial Analyst, under the supervision of Deputy Director Jonathan Rosenberg.

^a The Charter prescribes specific actions that are required as part of the annual budget submission process during a fiscal year. The Charter allows for changes, via local law, in the dates in the submission of the PMMR, as well as an extension for subsequent steps in the budget process. This year, Local Law 03 of 2009 changed the date for the submission of the Preliminary Budget to January 30th, and the date for the Council's Response to the Preliminary Budget to April 8th.

^b Local Law 03 of 2009 changed the date of submission of the PMMR to February 13, 2009.

^c New York City Charter, §12(b)(2).

^d *See id.* at §247.

Department of Transportation (841)

The Department of Transportation (DOT) manages approximately 5,800 miles of streets and highways and 790 bridge structures, including six tunnels. The Department ensures traffic safety and mobility by mitigating the effects of construction on traffic; implementing engineering improvements; and installing and maintaining traffic signals at more than 11,900 signalized intersections. DOT also maintains over 1.3 million signs, over 300,000 streetlights, 69 million linear feet of markings and approximately 63,000 parking meters.

The Department encourages the use of mass transit by operating the Staten Island Ferry and promoting new private ferry routes. Additionally, DOT also encourages the use of alternative modes of transportation and administers a citywide program advancing the use of alternative fuels.

PROGRAM TO ELIMINATE THE GAP

Since the Fiscal 2009 Budget was adopted in June, the Office of Management and Budget has twice asked agency heads to submit Programs to Eliminate the Gap (PEGs) proposals. In the first round, in September, OMB sought PEG submissions equal to five percent of agency City tax-levy budgets for Fiscal 2010, with a further seven percent sought in December.

PEGs reduce the City's budget gap either by reducing an agency's City tax-levy Expense Budget spending, or by increasing City revenues. The chart below indicates the proposed PEG amounts for the DOT based on the Fiscal 2010 forecast at the time the Fiscal 2009 Budget was adopted (June 2008).

November and January Plan PEGs for Fiscal 2010 <i>(in 000s)</i>	
Fiscal 2010 Forecast at Fiscal 2009 Adoption (June 2008)	\$446,829
Expense PEGs	\$16,861
Revenue PEGs	\$36,227
Total Fiscal 2010 PEGs	\$53,088
PEGs as a Percent of the Fiscal 2010 Forecast	11.9%

PRELIMINARY BUDGET HIGHLIGHTS

The Department of Transportation's Fiscal 2010 Preliminary Budget totals \$702.9 million which amounts to a decrease of \$3 million when compared to the Fiscal 2009 Adopted Budget of \$705.8 million. Because the City's fiscal year and the State and Federal fiscal years do not coincide, the Department reports only baseline funding and grants that it anticipates from the other two branches of government at the beginning of each year and makes adjustments throughout the year as additional funds are received.

The Fiscal 2010 Preliminary Budget proposes \$471 million in City-tax levy funding for the Department of Transportation - a decrease of less than one percent from the Fiscal 2009 Adopted Budget of \$478 million. It includes \$314,000 in new needs, \$3 million in program reductions and \$40 million in other adjustments,

of which \$8.2 million is for costs associated with collective bargaining agreements. Because the Department was able to offset most of its PEG program requirement with revenue PEGs, the Agency's overall budget reductions, on the surface, appear insignificant. The proposed revenue PEGs total \$36 million in Fiscal 2010 and include \$17 million from single-space parking meters, \$7 million from an increased number of Red Light cameras and \$2 million from increased summons collection efforts.

PROGRAM FUNDING OVERVIEW

Program budgets, as the name suggests, presents an agency's budget and headcount by discrete program areas, in contrast to the broader unit of appropriation breakouts which exist for every agency (see unit of appropriation table below). The tables below present an overview of the DOT budget by those programmatic functions performed by the agency.

Program Funding	2008 Actual Expenses	2009 Adopted Budget	2009 Current Modified	2010 Preliminary Budget
Bridge Engineering and Administration	\$24,989,083	\$26,941,046	\$30,221,028	\$25,804,353
Bridge Maintenance, Repair & Operations	\$56,331,960	\$45,739,361	\$60,085,481	\$46,077,104
DOT Management & Administration	\$48,211,853	\$49,992,594	\$59,394,260	\$42,158,547
DOT Vehicles&Facilities Mgmt&Maintenance	\$18,421,352	\$31,622,279	\$32,531,151	\$28,216,811
Ferry Administration & Surface Transit	\$6,815,940	\$3,687,030	\$7,939,891	\$3,694,498
Municipal Ferry Operation & Maintenance	\$82,924,253	\$88,458,392	\$95,964,590	\$81,938,275
Pre-K Bus Program Intra-City	\$4,236	\$0	\$0	\$0
Roadway Construction Coordination&Admin	\$9,230,225	\$9,215,485	\$9,973,237	\$10,641,567
Roadway Repair, Maintenance & Inspection	\$175,015,035	\$179,450,548	\$192,260,168	\$172,336,205
Traffic Operations & Maintenance	\$255,397,332	\$257,549,904	\$283,384,812	\$281,033,617
Traffic Planning Safety & Administration	\$20,413,927	\$13,161,471	\$30,467,691	\$11,040,746
WTC Disaster Related Expenses	\$30,603	\$0	\$0	\$0
Total	\$697,785,799	\$705,818,110	\$802,222,309	\$702,941,723

PROGRAM HEADCOUNT OVERVIEW

Headcount by Program	2009 Adopted Budget	2009 Current Modified	2010 Preliminary Budget
Bridge Engineering and Administration	345	366	350
Bridge Maintenance, Repair & Operations	458	489	458
DOT Management & Administration	376	487	387
DOT Vehicles&Facilities Mgmt&Maintenance	138	150	138
Ferry Administration & Surface Transit	50	69	50
Municipal Ferry Operation & Maintenance	634	634	618
Roadway Construction Coordination&Admin	106	125	114
Roadway Repair, Maintenance & Inspection	1,012	1,168	1,007
Traffic Operations & Maintenance	1,029	1,205	1,069
Traffic Planning Safety & Administration	72	208	76
Total	4,220	4,901	4,267

PROGRAM FUNDING ANALYSIS

Traffic Operations and Maintenance

DOT's Division of Traffic is responsible for facilitating the flow of vehicular traffic on City streets and bridges; regulating parking on city streets and in city-owned lots and garages open to the public; and ensuring the safety of motorists and pedestrians.

In Fiscal 2010, the Department will continue the build-out process of the City's Bicycle Master Plan, creating approximately 1,800 lane mile networks of bicycle routes and car-free greenways citywide. Funding for this initiative was provided as part of the City's PlaNYC initiative in the Fiscal 2008 Adopted Budget. The Department is on target to construct 90 lane miles in the current fiscal year, bringing the total lane miles completed to 386 by the end of Fiscal 2009.

The Fiscal 2010 Preliminary Budget contains \$281 million for traffic operations and maintenance - an increase of \$24 million compared to the Fiscal 2009 Adopted Budget of \$257 million. These funds will help the Department to continue its efforts of implementing strategic initiatives to improve traffic conditions citywide. These efforts include the implementation of Bus Rapid Transit (BRT) as recommended in the New York City Bus Rapid Transit Study sponsored in part by the MTA and the State Department of Transportation. In addition, the Fiscal 2010 Preliminary Budget contains \$31.7 million for additional Red Light cameras. The City is taking this action in anticipation of State approval of a proposed amendment to the State's traffic law to allow unlimited Red Light cameras citywide and the increase of violation fines from \$50 to \$100.

To insure that the Division of Traffic Operations continues to function at optimal level, the Fiscal 2010 Preliminary Budget provides funding for 1,069 positions for traffic operations and maintenance, an increase of 40 positions compared to the Fiscal 2009 Adopted Budget number of 1,029 positions. This increase is primarily due to the addition of 36 positions for the Red Light camera initiative.

In the Fiscal 2010 Preliminary Budget, specific actions affecting the Traffic Operations and Maintenance program area include one revenue PEG from single-space meters and a reduction of streetlight wattage. By increasing the hourly rate from \$0.50 to \$0.75 on single-space parking meters, the Department anticipates an additional \$2.5 million revenue in Fiscal 2009 and \$16.8 million in Fiscal 2010 and in the outyears. This will bring total parking meter revenue to \$136.1 million in Fiscal 2010. In Fiscal 2008, revenue generated from parking meters was \$112.5 million and is projected to be \$119.2 million in Fiscal 2009. For the streetlight wattage reduction, DOT will generate savings of \$2.3 million in Fiscal 2009, \$2.6 million in Fiscal 2010 and \$4 million in Fiscal 2011 and in the outyears by replacing 250 and 150 watt street lights with more energy efficient street light bulbs and reflectors.

In addition, the Fiscal 2010 Preliminary Budget contains \$31.7 million in Fiscal 2010 to install additional red light cameras pending State Legislation. The current red light camera law caps the number of locations at 100. The new law will allow for unlimited locations and increase the fine amount from \$50 to \$100.

Since the program's introduction, red light cameras have assisted in the reduction of traffic accidents and have helped to modify driver behavior. Initially, the City received approval to install no more than fifty

red light cameras citywide. However, in 2002 the City began lobbying the State to increase the number of cameras from 50 to 100. The City's lobbying efforts were unsuccessful until 2007.

The proposed legislation to allow for unlimited red light cameras is supported by the Governor. Should the amendment be enacted, the City plans to install an additional 150 cameras in Fiscal 2010. It is anticipated that the additional cameras will help drive up red light camera revenue by \$133 million, bringing total red light cameras revenue in Fiscal 2010 to \$172 million.

In Fiscal 2008, revenue generated from the red light camera program was \$44.7 million and is projected to be \$39 million in Fiscal 2009. According to the Department, red light cameras revenue tends to decrease as more motorists become aware of their locations.

Bridge Maintenance, Repair and Operations

The Department's Division of Bridges is responsible for the inspection, maintenance, repair and operation of approximately 800 city-owned bridges and tunnels, including the four East River bridges. In addition, the Bridge Division designs and supervises consultant designs of bridge projects and oversees major bridge reconstruction and construction work.

The Fiscal 2010 Preliminary Budget contains \$46.1 million of City tax-levy funds in Fiscal 2010 for bridge maintenance and repair operations - an increase of less than one percent compared to the Fiscal 2009 Adopted Budget of \$46 million. These funds will help the Department to continue on its efforts to provide adequate maintenance of the City's bridges. In the current fiscal year, total federal funding for this program area is \$13.6 million. It is worth noting that in prior fiscal years actual funding for bridge operations increased post adoption when federal funds were added to the budget. Thus, it is likely that funding for bridge operations will increase in Fiscal 2010 as additional federal funding are recognized. Additionally, it is likely that the Division of Bridges will benefit from transportation funding included in the federal stimulus bill (the American Recovery and Reinvestment Act). Current analysis suggests that the stimulus bill could provide up to \$233 million in funding for transportation projects in the City.

In the Fiscal 2010 Preliminary Budget, specific actions affecting the Bridge Maintenance, Repair and Operation program area include the elimination of eliminate painter vacancies. The DOT anticipates savings of \$478,000 in Fiscal 2010, \$482,000 in Fiscal 2011, \$486,000 in Fiscal 2012, and \$490,000 in Fiscal 2013 from the elimination of five vacant bridge painter positions in its arterial maintenance division.

Roadway Repair, Maintenance and Inspection

The Department's Roadway Division is responsible for the maintenance and inspection of city streets and arterial highways. The Division also monitors "street cut" activity by utilities, private contractors and other agencies to ensure that repairs meet required standards.

The Fiscal 2010 Preliminary Budget contains \$172 million in Fiscal 2010 for roadway repair, maintenance and inspections, \$7 million less than the amount allocated in the Fiscal 2009 Adopted Budget. This decrease in funding is attributable to State funds that are not recognized until received. The Fiscal 2010 Preliminary Budget contains only \$7 million in State funds for this program area compared to \$20 million in Fiscal 2009 and could increase post adoption when additional State funds are recognized.

In the Fiscal 2010 Preliminary Budget, specific actions affecting this program area include new needs for coring contracts and protective foot ware, and an adjustment for equipment rental and repair. To ensure that repair work done on city streets by utilities companies are in compliance with the Department's standards, the Fiscal 2010 Preliminary Budget contains funding of \$88,000 in Fiscal 2010 and the outyears for coring contracts. This funding will enable DOT to continue to contract out the testing of core soil samples.

Also, to comply with OSHA regulations requiring employers to provide personal protective equipment to employees that do construction and maritime work, the Fiscal 2010 Preliminary Budget contains \$245,000 in Fiscal 2010 and \$226,000 in Fiscal 2011 for equipment for certain roadway, bridges, and ferry employees. In addition, the Department will receive \$3 million in Fiscal 2010 and \$1.7 million in Fiscal 2011 for costs associated with equipment rental and repairs for two resurfacing crews. This will be funded through intra-funding agreements (IFAs), which are funding shifts from the capital budget to the expense budget for capital work performed by the Department's staff.

According to the Preliminary Mayor's Management Report (PMMR), in Fiscal 2008 the percentage of city highways that received a cleanliness rating of "good" was 90 compared to 87.7 percent in Fiscal 2007. In addition, 79 percent of inspected street work completed in the first four months of Fiscal 2009 received a satisfactory rating compared to 77 percent during the same period of Fiscal 2008. This improvement is primarily driven by increased enforcement and inspection of street cuts.

Municipal Ferry Operations and Maintenance

The Department's Municipal Ferry Operation and Maintenance Division is charged with the maintenance and operation of ferry service between Staten Island and Manhattan, and Hart Island and Manhattan.

The Fiscal 2010 Preliminary Budget contains \$81.9 million in Fiscal 2010 for municipal ferry operation and maintenance – a decrease of \$6 million compared to the Fiscal 2009 Adopted Budget amount of \$88 million.

The Fiscal 2010 Preliminary Budget Plan actions affecting this program area are listed below:

- **Eliminate Security Watch through Remote Alarm System.** DOT will install a remote alarm system that will monitor fire, flooding, and intrusion conditions on ferries not in use. This action will eliminate the need for a security watch position during those times resulting in savings of \$201,000 in Fiscal 2010 and in the outyears.
- **Eliminate Weekend Ferry Maintenance Overtime.** By limiting weekend overtime for ferry maintenance to emergency and maintenance work that cannot be accomplished during the week, DOT anticipates savings of \$420,000 in overtime costs in Fiscal 2010 and in the outyears.
- **Reduction in Cleaning Contract.** DOT will use existing Ferry Division employees to perform cleaning services at its maintenance facility and an office at 1 Ferry Terminal Drive instead of a cleaning contract. This action will generate savings of \$112,000 in Fiscal 2010 and in the outyears.

- **Reduction of Crane Operator Overtime.** Currently, the Staten Island Ferry crane operators are required to arrive at work an hour early. This requirement is being eliminated for a savings of \$112,000 in Fiscal 2010 and in the outyears.
- **Utilization of Extra Deckhand.** DOT will use the JFK ferry boat when one of the regularly scheduled Staten Island ferry boats is out of service for a savings of \$118,000 in Fiscal 2010 and in the outyears. This savings is a result of the JFK ferry boat requiring fewer deckhands on board than most other boats.

DOT Management and Administration

This program area consists of the commissioner’s office and all other agency-wide administrative services, including management information and analysis, management planning, finance, personnel, labor relations, general procurement services, data processing, general counsel, public information and information systems.

The Fiscal 2010 Preliminary Budget contains \$42.1 million for this program area in Fiscal 2010, which is \$6.1 million less than the Fiscal 2009 Adopted Budget amount of \$48.2 million. The decrease in funding for this program area is attributable to the inclusion of only \$178,000 of federal funds compared to \$4.7 million in Fiscal 2009. However, adjustments in funding will be made post adoption should additional federal funds be received for this program area.

AGENCY FUNDING OVERVIEW

Agency Funding Sources	Fiscal 2009 Adopted Budget	Fiscal 2009 Modified as of 1/30/2009	Fiscal 2010 Preliminary Budget
City	\$477,687,336	\$477,687,336	\$471,029,009
Other Categorical	\$429,000	\$1,735,433	\$32,750
Capital IFA	\$169,934,029	\$169,934,029	\$168,927,219
State	\$42,570,535	\$77,297,581	\$42,174,535
Community Development	\$0	\$0	\$0
Federal-Other	\$13,788,137	\$74,158,857	\$19,369,137
Intra-City	\$1,409,073	\$1,409,073	\$1,409,073
Total	\$705,818,110	\$802,222,309	\$702,941,723

HEADCOUNT OVERVIEW

Headcount (Uniform and Civilian)	Fiscal 2009 Adopted Budget	Fiscal 2009 Modified as of 1/30/2009	Fiscal 2010 Preliminary Budget
City	2,228	2,226	2,249
Non-City	1,992	2,675	2,018
Total	4,220	4,901	4,267

UNITS OF APPROPRIATION

The operating budget of an agency is structured into several levels, each of which provides varying levels of detail on an agency's spending plans. The City Charter requires that U/A's represent the amount appropriated for personal services (i.e. salaries) or Other Than Personal Services (i.e. supplies) for a particular program, purpose, activity or institution. The table below presents the DOT budget, comparing the Fiscal 2009 Adopted Budget to the Fiscal 2010 Preliminary Budget. The Fiscal 2009 Modified Budget reflects this year's budget at the time this financial plan was released.

U/A#	U/A Name	Fiscal 2009 Adopted Budget	Fiscal 2009 Modified as of 1/30/2009	Fiscal 2010 Preliminary Budget	Percent Change from Adoption
001	Executive Admin & Plan Management	\$34,370,242	\$43,490,823	\$36,355,033	5.77%
002	Highway Operations	\$99,407,111	\$109,390,832	\$101,595,821	2.20%
003	Transit Operations	\$55,901,703	\$57,726,258	\$53,130,542	-4.96%
004	Traffic Operations	\$62,789,428	\$82,688,610	\$69,824,453	11.20%
006	Bureau of Bridges	\$58,904,472	\$63,211,543	\$61,813,384	4.94%
	Total PS	\$311,372,956	\$356,508,066	\$322,719,233	3.64%
007	Bureau of Bridges	\$13,775,935	\$27,094,966	\$10,068,073	-26.92%
011	Executive Admin & Plan Management	\$46,324,137	\$47,514,094	\$33,098,279	-28.55%
012	Highway Operations	\$90,179,416	\$93,763,067	\$82,303,997	-8.73%
013	Transit Operations	\$36,243,719	\$46,178,223	\$32,502,231	-10.32%
014	Traffic Operations	\$207,921,947	\$231,163,893	\$222,249,910	6.89%
	Total OTPS	\$394,445,154	\$445,714,243	\$380,222,490	-3.61%
	Total Agency	\$705,818,110	\$802,222,309	\$702,941,723	-0.41%

PRELIMINARY BUDGET ACTIONS (in 000s)

The following table is a summary of the Preliminary Plan actions for Fiscal 2009 and Fiscal 2010 that are described in the Program Budget section above. The Non-City actions include State, Federal, Other Categorical, Intra-City and Capital Inter-Fund Agreement (IFA) funding changes for the DOT.

Description	Fiscal 2009			Fiscal 2010		
	City	Non-City	Total	City	Non-City	Total
Agency Budget as per the November Plan	\$470,212	\$319,774	\$789,986	\$433,606	\$224,314	\$657,920
January Plan Programs to Eliminate the Gap (PEGs)						
Additional Revenue from Single Space Meters	\$1,706		\$1,706	\$100		\$100
Citywide Streetlight Wattage Reduction	(\$2,322)		(\$2,322)	(\$2,588)		(\$2,588)
Eliminate Painter Vacancies in Arterial Maintenance	(\$474)		(\$474)	(\$478)		(\$478)
Eliminate Security Watch Through Remot Alarm Sys			\$0	(\$201)		(\$201)
Eliminate Weekend Ferry Maintenance Overtime			\$0	(\$420)		(\$420)
Headquarters Security Reduction			\$0	(\$204)		(\$204)
Increasing the Number of Red Light Cameras			\$0	\$1,200		\$1,200
Reduction in Cleaning Contract			\$0	(\$128)		(\$128)
Reduction of Crane Operators Overtime			\$0	(\$112)		(\$112)
Replace High Pressure Boiler Operator with Oiler			\$0	(\$19)		(\$19)
Utilization of Extra Deckhand			\$0	(\$118)		(\$118)
Total PEGs	(\$1,090)	\$0	(\$1,090)	(\$2,968)	\$0	(\$2,968)
January Plan New Needs						
Coring Contract Continuation	\$35		\$35	\$88		\$88
Extended Placard Program ePermit Upgrade	\$2,086		\$2,086			\$0
Protective Footware	\$245		\$245	\$226		\$226
Rust Prevention for Deicing Equipment	\$108		\$108			\$0
Staten Island Ferry Security	\$1,000		\$1,000			\$0
Total New Needs	\$3,474	\$0	\$3,474	\$314	\$0	\$314
January Plan Other Adjustments						
Asphalt Underfunding - IFA		\$2,192	\$2,192			\$0
Collective Bargaining	\$5,345	\$1,927	\$7,272	\$8,248	\$2,926	\$11,174
Brooklyn Bridge FA/PM		\$810	\$810			\$0
Cobblestone Reconstruction CHIPS		\$6,494	\$6,494			\$0
Diesel emission Catalst Cleaning - IFA		\$93	\$93		\$146	\$146
Dump Truck Refurbishment - IFA		\$417	\$417		\$417	\$417
Electricity for Central Depot - IFA		\$110	\$110		\$110	\$110
Equipment Rental/Repair - 2 Resurfacing Crew - IFA			\$0		\$3,000	\$3,000
Ferry Maintenance and repairs		\$2,000	\$2,000			\$0
Freight Route Signs and Truck		\$411	\$411			\$0
Fringe Benefit Offset	\$30		\$30	\$146		\$146
Go Safe Go Green		\$580	\$580			\$0
Legislation to Expand Red Light Camera Program			\$0	\$31,684		\$31,684
Specialty Vehicle Refurbishment - IFA		\$224	\$224			\$0
Stop DWI		\$527	\$527			\$0
Vehicle Parts replacement - IFA		\$950	\$950		\$1,000	\$1,000
Total Other Adjustments	\$5,375	\$16,735	\$22,110	\$40,078	\$7,599	\$47,677
Total January Plan Budget Changes	\$7,759	\$16,735	\$24,494	\$37,424	\$7,599	\$45,023
Agency Budget as per the January Plan	\$477,971	\$336,509	\$814,480	\$471,030	\$231,913	\$702,943

FISCAL 2010 CAPITAL PLAN

Agency Overview

The Department of Transportation (DOT) is responsible for the movement of pedestrian and vehicular traffic throughout the City. DOT is comprised of five operating bureaus: The Bureau of Highway Operations, the Parking Violations Bureau, the Bureau of Traffic Operations, the Bureau of Bridges and the Bureau of Transit Operations.

The goals of DOT's Capital Program include, but are not limited to, providing roads in good condition for safe travel, providing safe and efficient travel throughout the City by maintaining bridge and tunnel structures, improving the flow of traffic and minimize congestion, and maintaining the safe and efficient operation of ferries and ferry terminals. To meet these and other objectives, the Bureau of Highway Operations builds and maintains approximately 5,700 linear miles of streets and highways. It also operates an asphalt plant to provide materials for resurfacing and repair work.

The Bureau of Bridges inspects, maintains, repairs and operates 842 bridge and tunnel structures, oversees major bridge and tunnel construction work. The Bureau of Bridges also manages the "Flag" Repair Program to correct deficient bridge conditions. The Staten Island and Harts Island Ferries, various aviation facilities, and the repair of docks and terminal facilities are the responsibility of the Bureau of Transit Operations.

Under the previous Administration, the Department of Design and Construction (DDC) was created to manage the majority of the City's infrastructure projects, including many projects funded through DOT's capital program. For example, DDC manages DOT's highway reconstruction and resurfacing contracts, sidewalk and curb contracts and parking facility reconstruction projects. While most capital personnel were transferred to DDC, some stayed at DOT for those projects assigned to the agency. DOT personnel manage all Highway Bridge and Waterway Bridge contracts.

Current Budget Summary

The January 2009 Capital Commitment Plan includes \$6.6 billion in Fiscals 2009-2013 for the Department of Transportation (including City and Non-City funds). This represents 13.1 percent of the City's total \$50.5 billion January Plan for Fiscals 2009-2013. The agency's January Commitment Plan for Fiscals 2009 - 2013 is 2.5 percent greater than the \$6.4 billion September Commitment Plan, an increase of \$159 million.

As of November 30, 2008, the Department of Transportation has only committed approximately \$220 million, or 10 percent, of its \$2.2 billion Fiscal 2009 Plan. Over the past five years the Department of Transportation has only committed an average of 55.3 percent of its annual capital plan. Therefore, it is assumed that a large portion of the agency's Fiscal 2009 capital plan will be rolled into Fiscal 2010 thus greatly increasing the size of the Fiscal 2010-2014 capital plan. Since adoption last June, the Capital Commitment Plan for Fiscal 2009 has decreased from \$2.3 billion to \$2.2 billion, a reduction of \$46.6 million or two percent.

Currently, DOT's appropriations total \$3.9 billion in city-funds for Fiscal 2009. These appropriations are to be used to finance the Department's \$2 billion city-funded Fiscal 2009 capital commitment program. The agency has approximately two times more funding than it needs to meet its entire capital commitment program for the current fiscal year.

In January the Mayor announced his intention to reduce the City's capital plan by 30 percent. The objective of the capital cut is to reduce the amount of debt service as a percentage of total revenues. The 30 percent reduction in the Ten-Year Capital Plan Fiscal 2010 – Fiscal 2019 would reduce the long-term average annual growth in debt service costs to 3.4 percent, equal to the level of forecast growth in City revenues. The capital cut would eliminate nearly \$7 billion worth of planned commitments from the current Plan.

The Department of Transportation's capital commitments for the last five years are shown below:

FIVE YEAR HISTORY – CAPITAL BUDGET
(\$ in millions)

	FY04	FY05	FY06	FY07	FY08
CITY	\$623	\$410	\$530	\$736	\$913
NON-CITY	284	301	74	93	417
TOTAL	\$907	\$711	\$604	\$829	\$1,330

The Adopted Five -Year Capital Plan is shown below:

ADOPTED FIVE YEAR CAPITAL BUDGET – NOVEMBER 2008
(\$ in millions)

	FY09	FY10	FY11	FY12	FY13	FY's 09-13
CITY	\$1,632.8	\$958.1	\$567.9	\$538.9	\$926.8	\$4,624.5
NON-CITY	635.4	672.5	303.6	44.6	141.4	1,797.5
TOTAL	\$2,268.2	\$1,630.6	\$871.5	\$583.5	\$1,068.2	\$6,422.0

The Preliminary Five -Year Capital Plan is shown below:

PRELIMINARY FIVE YEAR CAPITAL PLAN – JANUARY 2009
(\$ in millions)

	FY09	FY10	FY11	FY12	FY13	FY's 09-13
CITY	\$1,486.3	\$1,111.8	\$685.5	\$434.5	\$928.1	\$4,646.2
NON-CITY	735.5	674.1	362.0	22.7	140.5	1,934.8
TOTAL	\$2,221.8	\$1,785.9	\$1,047.5	\$457.2	\$1,068.6	\$6,581.0

PRELIMINARY BUDGET ISSUES

In the Department's January Plan, city-funded commitments for Fiscal 2009 have decreased by \$146 million while non-city commitments have increased by \$100 million when compared to the agency's Fiscal 2009 Adopted Capital Budget. In Fiscal 2010, the Department's city-funded capital plan will increase by \$153.7 million and the Non-City funded portion will increase by \$2 million compared to the forecasted amounts in the Fiscal 2009 Budget. DOT's Preliminary five-year capital commitment plan for Fiscals 2009-2013 is now \$6.6 billion of which, \$4.6 billion is city funds and \$1.9 billion is non-city funds.

The January Plan includes a net decrease in City funding in Fiscals 2009-2013 for Highway Bridges, Ferries and Aviation, and Highways and a net increase for Bridges and Traffic. City funding for Transportation Equipment remains unchanged. Specifically, City funding for Highway Bridges is decreased by \$21.4 million; Waterway Bridges is increased by \$7.5 million; Ferries and Aviation is decreased by \$2.6 million; Highways is decreased by \$49.6 million; Transportation Equipment is unchanged; and Traffic is increased by \$87.7 million. These numbers do not include the funding that were rolled from Fiscal 2008 to Fiscal 2009. In addition, the January Plan increases Non-City funding by \$137.1 million when compared to the Fiscal 2009 Adopted Plan. Non-City funding includes federal, state, and private monies.

Some of the major changes between the Adopted Fiscal 2009 Plan and the January Plan for Fiscals 2009-2013 are:

PlaNYC 2030 Projects

- **Congested Corridors/Growth Areas:** DOT will continue to address multi-modal congestion issues in additional growth areas around the city, to manage streets efficiently for all users, and to address anticipated growth and land use changes. Total current funding for this initiative is \$107 million of which, \$2.6 million is in Fiscal 2010.
- **Public Plaza Improvements:** As part of the PlaNYC 2030, DOT plans to create new (or enhance an existing) pedestrian plaza in every community in the City by 2030. The plazas will be created from existing road space. The January Plan will eliminate \$28 million in funding for this initiative of which, \$15.8 million is in Fiscal 2010. After this reduction, DOT will still have \$125.8 million available for this initiative. The reduction is being initiated to help the Department meet its share of a 20 percent agency-wide capital program reduction proposed by the Administration.

Other Projects

- **Manhattan Bridge #14:** \$350,000 has been added in Fiscal 2009 in addition to the \$23.7 million added to Fiscal 2010 in the Executive Plan after inspections revealed that some bearings needed replacement in conjunction with required installation of new cable bands. Total planned commitment for this project is now \$119 million, reflecting the most recent cost estimate. The construction component of this project will now commence in Fiscal 2010 rather than Fiscal 2009.

- **Broadway Bridge over the Harlem River:** \$1.2 million is being added to the plan for this project bringing total planned commitments for this project to \$58.5 million. The project's scope has been substantially revised to accommodate additional work such as replacement of the fender system, adding off going barrier gates, adding bridge security system, replacement of elevator, and replacement of existing generator. Construction support services and resident engineer inspection services cost estimates have also been updated based on the latest scope of work for this project.
- **Citywide Milling:** \$4.6 million has been added to this program where asphalt is grinded up in preparation for highway work. The Department produces approximately 50 percent of its annual asphalt needs at its Hamilton Avenue Asphalt Plant using 40 percent reclaimed materials. The additional funding reflects current market costs and trends.
- **Williamsburg Bridge #8:** \$6.9 million has been added to the plan for costs associated with security improvements including the deployment of Traffic Enforcement Agents (TEAs), new bollards and fencing surrounding the bridge's towers.
- **Borden Avenue Bridge:** \$39.6 million is added in the January Plan due to an emergency need to reconstruct the west abutments and wing-walls in Fiscal 2010.
- **Reconstruction of Ramps at St. George's Terminal:** \$325,000 is added in addition to the \$35.8 million added in the Fiscal 2009 Executive Plan. This action is because the lowest cost proposal for this project exceeded the engineer's estimate. Thus, DOT had to include this new need in the commitment plan, bringing total planned commitment for this project to \$216.4 million. DOT expects registration of this project in the second quarter of Fiscal 2009.

Preliminary Ten Year Capital Strategy

The Department of Transportation's Fiscal 2010-2019 Preliminary Ten-Year Capital Strategy is divided into five program areas as illustrated by the chart on page 13. The Preliminary Ten-Year Capital Strategy for Fiscal 2010-2019 provides approximately \$9.6 billion to the Department of Transportation. Bridges and Highways will account for 88 percent of this funding, totaling approximately \$8.5 billion (City and Non-City funds). The Plan includes \$4.7 billion in Bridge funding for continued reconstruction of the four East River Bridges and 132 other bridge structures including six structures currently rated "poor" that will be committed for reconstruction by 2013. By the year 2019, a total of 68 bridge structures currently rated "fair" will have been reconstructed or committed for reconstruction. Of the \$4.7 billion in bridge funding, \$3.4 billion will be City-funds while \$1.3 billion and \$16 million will be federal and state funds respectively.

For highways, the Plan provides \$3.7 billion for the rehabilitation of 9,977 linear miles (3,042 lane miles) of City streets. To fund the highways, the City will provide \$3.5 billion, the federal government will provide \$105 million, the State will provide \$10.4 million, and private funds will contribute \$63.6 million.

Lastly, the Ten-Year Capital Strategy for Fiscal Years 2010-2019 contains funding of \$976 million for various traffic programs including \$257 million for signal installation and computerization, \$3.8 million for PlaNYC traffic initiatives and \$182 million for the installation of 7,000 and the installation of 22,000

lampposts and luminaries. The Plan also includes \$149 million for the reconstruction and improvement of various ferry vessels and facilities and \$55 million for vehicles and equipment purchase for the DOT.

Department of Transportation

2010 January Plan

The Department of Transportation (DOT) is responsible for approximately 5,800 miles of streets and highways and 787 bridge structures, including six tunnels. The Department ensures traffic safety and mobility by mitigating the effects of construction on traffic; implementing engineering improvements; and installing and maintaining traffic signals at more than 11,900 signalized intersections. The Department also maintains over 1.3 million signs, over 300,000 streetlights, 69 million linear feet of markings and approximately 63,000 parking meters. The Department encourages the use of mass transit by operating the Staten Island Ferry and promoting new private ferry routes. The Department also encourages the use of alternative modes of transportation, and administers a citywide program advancing the use of alternative fuels.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending by Program					
Bridge Engineering and Administration	\$24,989,083	\$26,941,046	\$30,371,631	\$30,998,363	\$25,804,353
Bridge Maintenance, Repair & Operations	\$56,331,960	\$45,739,361	\$59,486,492	\$61,303,558	\$46,077,104
DOT Management & Administration	\$48,211,853	\$49,992,594	\$57,601,540	\$60,083,658	\$42,158,547
DOT Vehicles&Facilities Mgmt&Maintenance	\$18,421,352	\$31,622,279	\$32,709,456	\$32,743,804	\$28,216,811
Ferry Administration & Surface Transit	\$6,815,940	\$3,687,030	\$7,594,439	\$7,952,914	\$3,694,498
Municipal Ferry Operation & Maintenance	\$82,924,253	\$88,458,392	\$93,690,775	\$96,604,285	\$81,938,275
Pre-K Bus Program Intra-City	\$4,236				
Roadway Construction Coordination&Admin	\$9,230,225	\$9,215,485	\$9,976,891	\$10,548,148	\$10,641,567
Roadway Repair, Maintenance & Inspection	\$175,015,035	\$179,450,548	\$187,386,566	\$196,849,490	\$172,336,205
Traffic Operations & Maintenance	\$255,397,332	\$257,549,904	\$282,187,512	\$286,946,655	\$281,033,617
Traffic Planning Safety & Administration	\$20,413,927	\$13,161,471	\$28,979,198	\$30,447,243	\$11,040,746
WTC Disaster Related Expenses	\$30,603				
Total	\$697,785,799	\$705,818,110	\$789,984,500	\$814,478,118	\$702,941,723
Funding					
Capital- IFA	NA	\$169,934,029	\$169,974,288	\$175,887,309	\$168,927,219
City Funds	NA	\$477,687,336	\$470,212,206	\$477,970,865	\$471,029,009
Federal - Other	NA	\$13,788,137	\$75,938,647	\$79,739,857	\$19,369,137
Intra City	NA	\$1,409,073	\$1,409,073	\$1,409,073	\$1,409,073
Other Categorical	NA	\$429,000	\$1,735,433	\$1,735,433	\$32,750
State	NA	\$42,570,535	\$70,714,853	\$77,735,581	\$42,174,535
Total	NA	\$705,818,110	\$789,984,500	\$814,478,118	\$702,941,723
Full-Time Positions	4,348	4,220	4,807	4,901	4,231
Contracts	NA	560	643	651	560

Bridge Engineering and Administration

This program is responsible for designing and supervising consultant designs of bridge projects and to oversee capital budget work on City-owned bridges. The funding for bridge engineering and design is provided primarily from the City's capital budget through intra-fund agreements (IFA).

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending					
Personal Services	\$22,122,315	\$22,890,732	\$24,069,960	\$24,695,692	\$24,105,804
Other Than Personal Services	\$2,866,768	\$4,050,314	\$6,301,671	\$6,302,671	\$1,698,549
Total	\$24,989,083	\$26,941,046	\$30,371,631	\$30,998,363	\$25,804,353
Funding					
Capital- IFA	NA	\$17,447,427	\$17,461,079	\$18,081,947	\$18,407,459
City Funds	NA	\$9,493,619	\$9,877,070	\$9,882,934	\$7,396,894
Federal - Other	NA	\$0	\$2,795,060	\$2,795,060	\$0
Other Categorical	NA	\$0	\$218,400	\$218,400	\$0
State	NA	\$0	\$20,022	\$20,022	\$0
Total	NA	\$26,941,046	\$30,371,631	\$30,998,363	\$25,804,353
Full-Time Positions	292	345	371	371	350
Contracts	NA	70	75	76	69
Cleaning Services	NA	2	2	2	2
Contractual Services - General	NA	15	16	16	14
Data Processing Equipment Maintenance	NA	8	8	8	8
Maintenance and Repairs - General	NA	6	6	6	6
Office Equipment Maintenance	NA	16	16	16	16
Printing Services	NA	3	3	3	3
Prof. Services - Computer Services	NA	1	1	1	1
Prof. Services - Engineering and Architectural Ser	NA	1	4	4	1
Prof. Services - Other	NA	2	3	4	2
Telecommunications Maintenance	NA	1	1	1	1
Temporary Services	NA	1	1	1	1
Training Program for City Employees	NA	13	13	13	13
Transportation Services	NA	1	1	1	1

Bridge Maintenance, Repair & Operations

This program is responsible for the inspection, maintenance, and repair of City-owned bridges.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending					
Personal Services	\$41,885,580	\$36,013,740	\$39,494,197	\$40,463,463	\$37,707,580
Other Than Personal Services	\$14,446,380	\$9,725,621	\$19,992,295	\$20,840,095	\$8,369,524
Total	\$56,331,960	\$45,739,361	\$59,486,492	\$61,303,558	\$46,077,104
Funding					
Capital- IFA	NA	\$1,509,694	\$1,515,859	\$1,520,609	\$1,521,680
City Funds	NA	\$42,194,594	\$41,923,114	\$42,925,430	\$42,020,351
Federal - Other	NA	\$0	\$12,802,446	\$13,612,446	\$0
Intra City	NA	\$285,073	\$285,073	\$285,073	\$285,073
State	NA	\$1,750,000	\$2,960,000	\$2,960,000	\$2,250,000
Total	NA	\$45,739,361	\$59,486,492	\$61,303,558	\$46,077,104
Full-Time Positions	426	458	489	489	458
Contracts	NA	35	39	39	35
Cleaning Services	NA	4	4	4	4
Contractual Services - General	NA	3	4	4	3
Maintenance and Operation of Infrastructure	NA	1	4	4	1
Maintenance and Repairs - General	NA	15	15	15	15
Maintenance and Repairs - Motor Vehicle Equip	NA	1	1	1	1
Office Equipment Maintenance	NA	3	3	3	3
Telecommunications Maintenance	NA	3	3	3	3
Training Program for City Employees	NA	5	5	5	5

Performance Measures	Type of Measure	2006	2006	2007	2007	2008	2008	2009	2010
		Annual Target	Annual Actual	Annual Target	Annual Actual	Annual Target	Annual Actual	Annual Target	Annual Target
Bridges rated fair (%)	Outcome	NA	58.0%	NA	57.9%	NA	58.2%	NA	NA
Bridges rated good or very good (%)	Outcome	NA	41.5%	NA	41.7%	NA	41.4%	NA	NA
Bridges rated poor (%)	Outcome	NA	0.5%	NA	0.4%	NA	0.4%	NA	NA
Bridge flags eliminated in-house	Output	18.1	430	465	451	465	NA	NA	NA
Bridge projects (structural work) substantially completed on schedule- East	Service Quality	NA	NA	100%	100%	100%	100%	100%	100%
Bridge projects (structural work) substantially completed on schedule- Non-	Service Quality	100%	81%	100%	89%	100%	100%	100%	100%

DOT Management & Administration

This program includes funding to support all aspects of administration and planning for the Department including payroll, budgeting, accounting, purchasing, legal services, data processing, training, community relations, franchises and revocable consents, and other services required to support executive and administrative operations that cannot be clearly linked to specific program areas.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending					
Personal Services	\$29,102,776	\$25,421,003	\$31,722,221	\$34,063,706	\$27,256,381
Other Than Personal Services	\$19,109,077	\$24,571,591	\$25,879,319	\$26,019,952	\$14,902,166
Total	\$48,211,853	\$49,992,594	\$57,601,540	\$60,083,658	\$42,158,547
Funding					
Capital- IFA	NA	\$2,835,750	\$3,632,952	\$3,697,397	\$3,731,076
City Funds	NA	\$46,130,244	\$46,846,135	\$47,580,343	\$37,400,871
Federal - Other	NA	\$177,600	\$4,619,917	\$4,755,970	\$177,600
Intra City	NA	\$49,000	\$49,000	\$49,000	\$49,000
State	NA	\$800,000	\$2,453,536	\$4,000,948	\$800,000
Total	NA	\$49,992,594	\$57,601,540	\$60,083,658	\$42,158,547
Full-Time Positions	416	376	458	487	387
Contracts	NA	72	77	77	72
Contractual Services - General	NA	12	12	12	12
Data Processing Equipment Maintenance	NA	3	3	3	3
Maintenance and Operation of Infrastructure	NA		1	1	
Maintenance and Repairs - General	NA	3	3	3	3
Mental Hygiene Services	NA		1	1	
Office Equipment Maintenance	NA	31	31	31	31
Printing Services	NA	3	4	4	3
Prof. Services - Computer Services	NA	3	3	3	3
Prof. Services - Engineering and Architectural Ser	NA		1	1	
Prof. Services - Other	NA		2	2	
Telecommunications Maintenance	NA	7	4	4	7
Temporary Services	NA	1	1	1	1
Training Program for City Employees	NA	9	11	11	9

DOT Vehicles&Facilities Mgmt&Maintenance

This program provides for the maintenance of the Department's vehicles and facilities citywide.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending					
Personal Services	\$10,576,669	\$9,869,733	\$11,082,681	\$11,146,474	\$10,020,698
Other Than Personal Services	\$7,844,683	\$21,752,546	\$21,626,775	\$21,597,330	\$18,196,113
Total	\$18,421,352	\$31,622,279	\$32,709,456	\$32,743,804	\$28,216,811
Funding					
Capital- IFA	NA	\$250,000	\$250,000	\$250,000	\$250,000
City Funds	NA	\$31,372,279	\$30,813,152	\$30,847,500	\$27,966,811
State	NA	\$0	\$1,646,304	\$1,646,304	\$0
Total	NA	\$31,622,279	\$32,709,456	\$32,743,804	\$28,216,811
Full-Time Positions	139	138	150	150	138
Contracts	NA	60	60	61	60
Cleaning Services	NA	5	5	5	5
Contractual Services - General	NA	11	11	11	11
Maintenance and Operation of Infrastructure	NA	1			1
Maintenance and Repairs - General	NA	35	35	35	35
Maintenance and Repairs - Motor Vehicle Equip	NA	1	2	2	1
Office Equipment Maintenance	NA	2	2	2	2
Printing Services	NA	1	1	1	1
Prof. Services - Direct Educational Services to Stu	NA			1	
Prof. Services - Other	NA	1	1	1	1
Security Services	NA	1	1	1	1
Telecommunications Maintenance	NA	1	1	1	1
Transportation Services	NA	1	1	1	1

Ferry Administration & Surface Transit

This program is responsible for the supervision of private ferries, in addition to subsidized franchised bus companies, including the MTA bus companies.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan				
Spending									
Personal Services	\$4,402,677	\$3,620,970	\$5,443,253	\$5,448,791	\$3,630,103				
Other Than Personal Services	\$2,413,263	\$66,060	\$2,151,186	\$2,504,123	\$64,395				
Total	\$6,815,940	\$3,687,030	\$7,594,439	\$7,952,914	\$3,694,498				
Funding									
Capital- IFA	NA	\$146,213	\$119,890	\$119,890	\$119,890				
City Funds	NA	\$3,540,817	\$4,885,594	\$5,244,069	\$3,574,608				
Federal - Other	NA	\$0	\$2,588,955	\$2,588,955	\$0				
Total	NA	\$3,687,030	\$7,594,439	\$7,952,914	\$3,694,498				
Full-Time Positions	53	50	69	69	50				
Contracts	NA	7	15	16	7				
Contractual Services - General	NA		1	1					
Data Processing Equipment Maintenance	NA	1	1	1	1				
Maintenance and Repairs - General	NA	1	1	1	1				
Maintenance and Repairs - Motor Vehicle Equip	NA		1	1					
Office Equipment Maintenance	NA	1	1	1	1				
Printing Services	NA	1	1	1	1				
Prof. Services - Computer Services	NA		1	1					
Prof. Services - Engineering and Architectural Ser	NA		1	1					
Prof. Services - Other	NA		4	4					
Telecommunications Maintenance	NA	1	1	1	1				
Temporary Services	NA			1					
Training Program for City Employees	NA	2	2	2	2				
Performance Measures									
	Type of Measure	2006 Annual Target	2006 Annual Actual	2007 Annual Target	2007 Annual Actual	2008 Annual Target	2008 Annual Actual	2009 Annual Target	2010 Annual Target
Private ferry surface- change in number of passengers (%)	Demand	NA	-2.3%	NA	-5.4%	NA	0.1%	NA	NA
Private ferry surface- change in number of routes (%)	Demand	NA	-7.1%	NA	-16.0%	NA	-9.8%	NA	NA

Municipal Ferry Operation & Maintenance

This program is responsible for the operation of the Staten Island Ferry and the Hart Island Ferry services, as well as the maintenance of dock and ferry terminal facilities.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending					
Personal Services	\$47,399,599	\$52,280,733	\$51,668,960	\$51,935,407	\$49,500,439
Other Than Personal Services	\$35,524,654	\$36,177,659	\$42,021,815	\$44,668,878	\$32,437,836
Total	\$82,924,253	\$88,458,392	\$93,690,775	\$96,604,285	\$81,938,275
Funding					
Capital- IFA	NA	\$1,765,856	\$1,793,501	\$1,830,305	\$1,849,546
City Funds	NA	\$53,443,820	\$49,878,760	\$50,755,466	\$46,840,013
Federal - Other	NA	\$2,300,141	\$11,131,939	\$13,131,939	\$2,300,141
Intra City	NA	\$1,075,000	\$1,075,000	\$1,075,000	\$1,075,000
State	NA	\$29,873,575	\$29,811,575	\$29,811,575	\$29,873,575
Total	NA	\$88,458,392	\$93,690,775	\$96,604,285	\$81,938,275
Full-Time Positions	582	634	634	634	618
Contracts	NA	22	27	27	22
Cleaning Services	NA	4	4	4	4
Contractual Services - General	NA	5	3	3	5
Maintenance and Operation of Infrastructure	NA	5	8	7	5
Maintenance and Repairs - General	NA	1	5	5	1
Prof. Services - Other	NA	3	3	3	3
Security Services	NA	2	2	3	2
Telecommunications Maintenance	NA	1	1	1	1
Training Program for City Employees	NA	1	1	1	1

Performance Measures

	Type of Measure	2006 Annual Target	2006 Annual Actual	2007 Annual Target	2007 Annual Actual	2008 Annual Target	2008 Annual Actual	2009 Annual Target	2010 Annual Target
Staten Island Ferry- Trips that are on-time (%)	Service Quality	85.0%	88.5%	85.0%	91.7%	85.0%	91.0%	85.0%	85.0%

Pre-K Bus Program Intra-City

Prior to Fiscal 2007, the Department managed the transportation of special needs students in Pre-Kindergarten for the Department of Education. The Department's contracts with private companies for Pre-K transportation services was transferred from the Department of Education to the Department of Transportation during Fiscal 2007.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending					
Personal Services	\$4,236				
Total	\$4,236				

Full-Time Positions

Roadway Construction Coordination&Admin

The Department supervises street resurfacing and repair work, permit management, and maintains and repairs vehicles and equipment used for street and arterial maintenance programs.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending					
Personal Services	\$8,851,122	\$8,554,682	\$9,354,734	\$9,894,554	\$9,983,669
Other Than Personal Services	\$379,103	\$660,803	\$622,157	\$653,594	\$657,898
Total	\$9,230,225	\$9,215,485	\$9,976,891	\$10,548,148	\$10,641,567
Funding					
Capital- IFA	NA	\$1,550,502	\$2,148,978	\$2,149,862	\$2,151,172
City Funds	NA	\$7,664,983	\$7,634,265	\$8,204,638	\$8,490,395
Federal - Other	NA	\$0	\$83,792	\$83,792	\$0
State	NA	\$0	\$109,856	\$109,856	\$0
Total	NA	\$9,215,485	\$9,976,891	\$10,548,148	\$10,641,567
Full-Time Positions	123	106	125	125	114
Contracts					
Contracts	NA	2	3	3	2
Financing Costs	NA		1	1	
Maintenance and Operation of Infrastructure	NA	1	1	1	1
Maintenance and Repairs - Motor Vehicle Equip	NA	1	1	1	1

Roadway Repair, Maintenance & Inspection

The Department maintains the City’s streets and arterial highways, and also monitors “street cut” activity by utilities, private contractors and other agencies to ensure that repairs meet required standards.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending					
Personal Services	\$99,561,942	\$89,931,935	\$94,245,656	\$99,589,092	\$90,690,106
Other Than Personal Services	\$75,453,093	\$89,518,613	\$93,140,910	\$97,260,398	\$81,646,099
Total	\$175,015,035	\$179,450,548	\$187,386,566	\$196,849,490	\$172,336,205
Funding					
Capital- IFA	NA	\$131,172,706	\$130,821,608	\$135,577,973	\$126,887,742
City Funds	NA	\$40,410,882	\$41,462,757	\$41,222,667	\$37,581,503
State	NA	\$7,866,960	\$15,102,201	\$20,048,850	\$7,866,960
Total	NA	\$179,450,548	\$187,386,566	\$196,849,490	\$172,336,205
Full-Time Positions	1,048	1,012	1,106	1,163	1,007

Contracts	NA	105	107	107	105
Cleaning Services	NA	6	6	6	6
Contractual Services - General	NA	21	21	21	21
Data Processing Equipment Maintenance	NA	6	6	6	6
Maintenance and Operation of Infrastructure	NA	1	1	1	1
Maintenance and Repairs - General	NA	17	18	18	17
Maintenance and Repairs - Motor Vehicle Equip	NA	23	23	23	23
Office Equipment Maintenance	NA	9	9	9	9
Printing Services	NA	6	6	6	6
Prof. Services - Computer Services	NA	1	1	1	1
Prof. Services - Other	NA	1	1	1	1
Security Services	NA	1	1	1	1
Telecommunications Maintenance	NA	9	9	9	9
Training Program for City Employees	NA	4	5	5	4

Performance Measures		2006 Annual Target	2006 Annual Actual	2007 Annual Target	2007 Annual Actual	2008 Annual Target	2008 Annual Actual	2009 Annual Target	2010 Annual Target
Pothole work orders	Demand	NA	45,228	NA	47,934	NA	48,433	NA	NA
Street maintained with a pavement rating of fair (%)	Outcome	NA	30.0%	NA	29.6%	NA	33.4%	NA	NA
Street maintained with a pavement rating of good (%)	Outcome	NA	69.9%	NA	70.3%	NA	66.4%	NA	NA
Street maintained with a pavement rating of poor (%)	Outcome	NA	0.1%	NA	0.1%	NA	0.2%	NA	NA
Potholes repaired	Output	NA	179,728	NA	205,227	NA	210,032	NA	NA
Average time to close a pothole work order where repair was done (days)	Service Quality	NA	NA	NA	2.1	NA	2.7	NA	NA
Pothole work orders closed within 30 days of notification (%)	Service Quality	65%	99%	85%	99%	85%	NA	NA	NA
Average cost per lane mile resurfaced citywide (\$)	Unit Cost	NA	\$92,786	NA	\$118,594	NA	\$137,113	NA	NA
Average cost per ton of asphalt placed citywide (\$)	Unit Cost	NA	\$99.56	NA	\$118.67	NA	\$141.40	NA	NA
Average in-house cost of asphalt per ton (\$)	Unit Cost	NA	\$42.06	NA	\$44.01	NA	\$47.75	NA	NA
Average vendor cost of asphalt per ton (\$)	Unit Cost	NA	\$49.53	NA	\$56.69	NA	\$61.37	NA	NA

Traffic Operations & Maintenance

The Division of Traffic Operations is responsible for all activities aimed at facilitating traffic flow and enforcing regulations that support those activities. The division installs and maintains streetlights, traffic control devices, parking meters, and bus stops.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending					
Personal Services	\$69,451,075	\$55,749,166	\$66,547,619	\$70,726,039	\$62,495,185
Other Than Personal Services	\$185,946,257	\$201,800,738	\$215,639,893	\$216,220,616	\$218,538,432
Total	\$255,397,332	\$257,549,904	\$282,187,512	\$286,946,655	\$281,033,617
Funding					
Capital- IFA	NA	\$13,106,025	\$11,980,421	\$12,409,326	\$13,758,654
City Funds	NA	\$230,424,483	\$223,144,711	\$227,464,949	\$248,966,817
Federal - Other	NA	\$11,310,396	\$29,144,787	\$29,144,787	\$16,891,396
Other Categorical	NA	\$429,000	\$1,517,033	\$1,517,033	\$32,750
State	NA	\$2,280,000	\$16,410,560	\$16,410,560	\$1,384,000
Total	NA	\$257,549,904	\$282,197,512	\$286,946,655	\$281,033,617
Full-Time Positions	1,118	1,029	1,205	1,205	1,033
Contracts	NA	156	178	179	157
Cleaning Services	NA	9	8	8	9
Contractual Services - General	NA	20	21	21	20
Data Processing Equipment Maintenance	NA	8	9	9	8
Financing Costs	NA		1	1	
Maintenance and Operation of Infrastructure	NA	40	53	53	40
Maintenance and Repairs - General	NA	36	35	35	36
Office Equipment Maintenance	NA	22	23	23	22
Printing Services	NA	4	4	4	4
Prof. Services - Computer Services	NA	2	1	1	2
Prof. Services - Engineering and Architectural Ser	NA	1	5	5	1
Prof. Services - Other	NA	1	2	3	1
Security Services	NA	2	2	2	2
Telecommunications Maintenance	NA	4	6	6	5
Temporary Services	NA	1	1	1	1
Training Program for City Employees	NA	6	7	7	6

Performance Measures

	Type of Measure	2006 Annual Target	2006 Annual Actual	2007 Annual Target	2007 Annual Actual	2008 Annual Target	2008 Annual Actual	2009 Annual Target	2010 Annual Target
Percent of metered spaces that have uni- meters (multi-space meters)	Output	NA	21.2%	NA	25.5%	NA	29.9%	NA	NA
Average time to fix traffic signals (hours)	Service Quality	NA	NA	NA	56.3	NA	16.9	NA	NA
Average time to repair priority regulatory signs after notification (days)	Service Quality	NA	2.5	NA	2.9	NA	1.7	NA	NA
Average time to repair streetlights- by ConEd (days)	Service Quality	NA	39.4	NA	16.8	NA	12.5	NA	NA
Average time to repair streetlights- by DOT (days)	Service Quality	NA	9.0	NA	2.4	NA	2.0	NA	NA
On-street parking meters that are operable (%)	Service Quality	90.0%	90.9%	90.0%	90.9%	90.0%	90.0%	90.0%	90.0%
Streetlight defects responded to within 10 days of notification (%)	Service Quality	95.0%	94.4%	95.0%	96.5%	95.0%	NA	NA	NA
Traffic signal defects responded to within 48 hours of notification (%)	Service Quality	98.0%	98.8%	98.0%	99.0%	98.0%	NA	NA	NA
Traffic signals installed within six months of approval (%)	Service Quality	95%	100%	95%	100%	95%	NA	NA	NA

Traffic Planning Safety & Administration

This program is responsible for the supervision, planning and research, and general support of the Department's traffic and parking programs.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan				
Spending									
Personal Services	\$10,635,616	\$7,040,262	\$15,650,056	\$16,107,717	\$7,329,268				
Other Than Personal Services	\$9,778,311	\$6,121,209	\$13,329,142	\$14,339,526	\$3,711,478				
Total	\$20,413,927	\$13,161,471	\$28,979,198	\$30,447,243	\$11,040,746				
Funding									
Capital- IFA	NA	\$149,856	\$250,000	\$250,000	\$250,000				
City Funds	NA	\$13,011,615	\$13,746,648	\$13,842,869	\$10,790,746				
Federal - Other	NA	\$0	\$12,771,751	\$13,626,908	\$0				
State	NA	\$0	\$2,200,799	\$2,727,466	\$0				
Total	NA	\$13,161,471	\$28,969,198	\$30,447,243	\$11,040,746				
Full-Time Positions	151	72	200	208	76				
Contracts	NA	31	62	66	31				
Cleaning Services	NA	1	1	1	1				
Contractual Services - General	NA	3	7	7	3				
Data Processing Equipment Maintenance	NA	3	3	3	3				
Maintenance and Operation of Infrastructure	NA		4	4					
Maintenance and Repairs - General	NA	1	1	1	1				
Maintenance and Repairs - Motor Vehicle Equip	NA		1	1					
Office Equipment Maintenance	NA	11	11	11	11				
Printing Services	NA	1	9	10	1				
Prof. Services - Computer Services	NA	3	4	4	3				
Prof. Services - Engineering and Architectural Ser	NA		5	6					
Prof. Services - Other	NA		6	6					
Security Services	NA	1	1	1	1				
Telecommunications Maintenance	NA	2	2	2	2				
Temporary Services	NA	1	1	1	1				
Training Program for City Employees	NA	4	6	6	4				
Transportation Services	NA			2					
Performance Measures									
	Type of Measure	2006 Annual Target	2006 Annual Actual	2007 Annual Target	2007 Annual Actual	2008 Annual Target	2008 Annual Actual	2009 Annual Target	2010 Annual Target
Citywide traffic fatalities	Outcome	NA	307	NA	310	NA	300	NA	NA
Inspected street work rated satisfactory (%)	Outcome	75%	76%	75%	76%	75%	77%	75%	75%
Traffic fatalities - bicyclists/pedestrians	Outcome	NA	180	NA	174	NA	177	NA	NA
Traffic fatalities - motorists/passengers	Outcome	NA	127	NA	136	NA	123	NA	NA
Bicycle lane miles installed	Output	NA	3.5	77.1	41.8	70.0	70.6	90.0	90.0
Bicycle racks installed	Output	NA	523	275	320	300	1,377	300	300
Construction permits issued (000)	Output	NA	214.0	NA	227.4	NA	253.9	NA	NA
Inspections of permitted street work (000)	Output	285.0	340.6	325.0	437.1	385.0	532.7	425.0	425.0
Speed humps installed near schools	Output	NA	72	NA	101	NA	65	NA	NA

WTC Disaster Related Expenses

Funding for World Trade Center Disaster-Related expenses are in this program area.

	2008 Actuals	2009 Adopted Budget	2009 November Plan	2009 January Plan	2010 January Plan
Spending					
Personal Services	\$30,603				
Total	\$30,603				