

The Council of the City of New York

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Report on the Fiscal Year 2015 Executive Budget for the

Police Department

May 20, 2014

Executive Budget Highlights

- **Total Fiscal 2015 Executive Budget:** \$4.71 billion
 - \$45.6 million or 0.96 percent less than Fiscal 2014 Adopted Budget
 - Increase of \$131.8 million or 3.05 percent in City funds from Fiscal 2014 Adopted Budget.
 - Fiscal 2015 total Overtime Budget - \$417.7 million
 - \$410 million in City funds
 - \$7.7 million in non-City funds
- Fiscal 2015 Budget total authorized headcount of 49,129.
 - Uniform staff – July 2014 class size is 947. Department will maintain average uniform headcount of 35,122 in 2015.
 - Civilian staff –Increases by 385 civilian staff for a total headcount of 14,646.
- **Fiscal 2015 Executive Budget Changes.** New Needs total \$25.4 million; Other Adjustments: \$ 8.7 million.
 - NYCHA Payment Relief adds \$70 million in City funds for Fiscal 2015
 - Collaborative Policing adds \$1.29 million with 15 civilian staff
 - Risk Assessment and Compliance Unit adds \$4.3 million with 45 civilian staff
 - Vision Zero adds \$13.3 million and 147 Traffic Enforcement Agents
- **Capital Program** –Totals \$784.8 million for the 2014-2018 Capital Commitment Plan; \$53.5 million or 7.31 percent more than Preliminary Plan.
 - Precinct Replacement and Repair: \$68.9 million for a new 40th Precinct in the South Bronx; \$40.3 million for renovations of Brooklyn North Narcotics and 13th Precinct in Manhattan.

NYPD Overview

This report provides an overview of the Police Department's ("NYPD") Fiscal 2015 Executive Budget and a summary of the Department's Capital Budget for Fiscal 2014-2018. Appendices 1 and 2 report the changes made to the Fiscal 2014 and Fiscal 2015 Budgets since adoption of the Fiscal 2014 Budget. For additional information on the Department's Budget and its various programs, please refer to the Report on the Preliminary Budget for the NYPD available at

<http://council.nyc.gov/downloads/pdf/budget/2015/15/056%20Police%20Department.pdf>

NYPD Financial Summary

<i>Dollars in Thousands</i>	FY12 Actual	FY13 Actual	FY14 Adopted	FY14 as of FY15 Exec. Budget	FY15 Exec. Budget	*Change FY14 - FY15
Spending						
Personal Services	\$4,466,647	\$4,500,785	\$4,345,644	\$4,388,104	\$4,369,968	\$24,324
Other Than Personal Services	401,245	391,785	411,219	578,537	341,264	(69,955)
Total	\$4,867,891	\$4,892,569	\$4,756,863	\$4,966,642	\$4,711,232	(\$45,631)
Budget by Program Area						
Patrol	\$1,439,344	\$1,441,676	\$1,439,545	\$1,442,550	\$1,440,204	\$659
Chief of Department	787,380	722,957	743,526	741,060	761,933	18,406
Administration	443,798	452,104	465,487	462,100	487,043	21,557
Detective Bureau	330,692	329,196	326,440	328,901	327,720	1,280
School Safety	251,410	253,731	247,925	247,925	247,925	0
Transit	212,341	212,324	216,469	216,415	216,792	323
Organized Crime Control Bureau	189,244	183,605	184,882	185,645	184,883	1
Transportation	181,213	177,426	174,149	191,438	184,011	9,862
Housing Bureau	169,996	176,574	164,328	164,827	165,245	918
Support Services	128,564	150,912	149,730	165,425	152,664	2,934
Communications	128,078	104,158	95,750	107,319	102,887	7,137
Training	107,942	96,618	99,998	100,168	99,914	(84)
Internal Affairs	75,140	76,427	67,765	72,023	67,765	0
Special Operations	82,415	79,155	64,125	75,266	64,169	44
Intelligence Division	62,734	63,858	64,119	64,519	64,119	0
Criminal Justice Bureau	55,567	55,951	57,406	57,421	57,363	(43)
Counter-Terrorism	46,195	45,981	47,333	48,093	47,248	(85)
Security/Counter-Terrorism Grants	92,950	88,807	110,793	236,943	17,184	(93,609)
Community Affairs	12,156	13,592	13,399	14,138	14,450	1,051
Reimbursable Overtime	70,733	167,516	23,693	44,465	7,710	(15,983)
Total	\$4,867,891	\$4,892,569	\$4,756,863	\$4,966,642	\$4,711,232	(\$45,631)
Funding						
City Funds	\$0	\$0	\$4,319,320	\$4,382,066	\$4,451,148	\$131,828.29
Other Categorical	0	0	69,082	44,188	0	(69,082)
State	0	0	986	11,364	987	1
Federal - Other	0	0	137,861	298,142	29,439	(108,422)
Intra City	0	0	229,614	230,882	229,658	44
Total	\$4,867,891	\$4,892,569	\$4,756,863	\$4,966,642	\$4,711,232	(\$45,631)
Budgeted Headcount						
Full-Time Positions - Civilian	14,527	14,238	14,261	14,589	14,646	385
Full-Time Positions - Uniform	33,777	34,510	34,483	34,483	34,483	-
Total	48,304	48,748	48,744	49,072	49,129	385

*Change from Fiscal 2014 Adopted to Fiscal 2015 Executive Budget.

The Department's \$4.71 billion Fiscal 2015 Executive Budget reflects a minor reduction of 0.96 percent or \$45.6 million when compared to its Fiscal 2014 Adopted Budget of \$4.76 billion. The change results from the amount of federal funding recognized thus far within the Department's budget; during the course of Fiscal 2015 the NYPD expects to recognize additional federal grants. The decrease in other categorical funding of \$69 million results from the Fiscal 2015 NYCHA Payment relief.

The Department's Fiscal 2015 city funds budget increases by \$131.8 million due to a number of changes introduced since adoption. These changes include \$109.1 million in new needs and \$6.78 million in other adjustments (See Appendix 2). Some of the significant changes to the Fiscal 2015 Executive Budget include \$70 million NYCHA Payment Relief, \$1.29 million for Office of the Deputy Commissioner for Collaborative Policing, \$4.3 million for a Risk Assessment and Compliance Unit and \$13 million for the Mayor's Vision Zero initiative.

The Department's Fiscal 2015 authorized headcount of 49,129 increases by 385 civilian positions from the Fiscal 2014 Adopted Budget. The increase of 385 civilian positions is related to 147 Traffic Enforcement Agents for Vision Zero, 178 Police Communication Technicians, 15 civilian staff for Office of Collaborative Policing and 45 civilian staff for the Risk Assessment and Compliance Unit.

New in the Executive Budget

Collaborative Policing

An additional \$1.29 million increases the Fiscal 2015 Budget for the newly created Office of Collaborative Policing. The Fiscal 2015 budget for the Collaborative Policing division is \$1.29 million, which includes \$1.15 million and a headcount increase of 15 in Personal Services and \$136,360 in Other Than Personal Services. The Office of Collaborative Policing will service as the Department's liaison to other City agencies, with a focus on community policing efforts and other initiatives. The staff positions for this unit are analysts, community associates, and clerical staffing.

Vision Zero

The Fiscal 2015 Executive Budget includes an additional \$4.1 million in the Fiscal 2014 Budget growing to \$13.3 million in Fiscal 2015 for NYPD's role in the Mayor's Vision Zero Initiative. The \$13.3 million added to the Fiscal 2015 Budget includes an additional 147 Traffic Enforcement Agents valued at \$7.98 million increasing to \$9.54 million in the out years. The funding also provides for overtime costs related to weekend coverage of each location. Also included is \$2.24 million for purchase of traffic safety equipment to enforce the Vision Zero Initiative. The Department will purchase LiDAR guns, speed signs and barriers. The 147 Traffic Enforcement Agents will be placed at the 50 most dangerous intersections that are not currently covered by an agent. The authorized headcount for Traffic Enforcement Agent will total 2,659. In addition to the new Traffic Enforcement Agents, \$3 million will cover uniform overtime costs related to enforcement and equipment training.

Risk Assessment and Compliance Unit

The new Risk Assessment and Compliance unit at the NYPD increases the Fiscal 2015 Budget by \$4.87 million decreasing to \$3.95 million in the out years. The \$4.87 million adds 45 additional civilian staff valued at \$3.87 million. The civilian staffing needs for this unit are attorneys, internal auditors and clerical staff. The \$4.87 million also includes \$1 million in OTPS

which decreases to \$76,973 in the out years. This covers startup costs such as equipment and furniture purchases for the unit. The Risk Assessment and Compliance unit housed within the Office of the Deputy Commissioner of Legal Matters will oversee current and future litigation against the Department, liaise with the Federal Monitor and the new NYPD Inspector General unit, and conduct revisions and reviews of agency policies.

Fiscal 2015 New York City Housing Authority (NYCHA) Payment Relief

The Fiscal 2015 Executive Budget includes \$70 million in City funding to replace intra-city funding paid by NYCHA for policing services provided by the NYPD at NYCHA developments. The payment relief allows NYCHA to service its outstanding repairs to its facilities and allows NYPD to maintain its budget. The payment relief is a continuation of the Fiscal 2014 payment relief totaling \$52.5 million.

NYPD Budgetary Issues

Increase Precinct Staffing

In City Council's Preliminary Budget Response, the Council called on the Administration to hire an additional 1,000 police officers to bolster police precinct staffing. This reflects the Council's concern that the Department relies on precinct staff and overtime to cover special operations and events leaving understaffed precincts further stretched. With resources stretched at the precinct level, effective roll out of new initiatives like collaborative policing and community affairs will prove challenging. The Department's funded peak headcount has decreased from its previous high of 40,710 in Fiscal 2001 to its current level of 35,437. According to the first quarter report for 2014 submitted to City Council, precinct staffing as of March 31, 2014 was 14,116. Adding 1,000 officers to staff the Department's 77 precincts would allow the Department to more adequately and consistently meet the policing needs of the precincts' local communities such as addressing rising crime in public housing, building strong community partnerships, and increasing police visibility. The Fiscal 2015 Executive budget does not include additional funding to increase the size of the police force and add to precinct staffing.

Civilianization

Civilianization has long been an issue because of the persistently high number of uniformed officers that function in capacities that should be performed by civilians. According to the Department's first quarter report for 2014 to the City Council, 719 full duty uniformed officers in patrol and administrative commands were performing civilian functions. Civilianization of these positions would allow uniformed officers to return to patrol and enforcement duties. The report also lists 344 civilianizable positions performed by officers that are on restricted, limited or modified duty. The Fiscal 2015 Executive Budget does not include any actions to civilianize any of the 719 positions identified by the Department.

Overtime

As of the Fiscal 2014 second quarter overtime report, the NYPD has spent a total of \$246.5 million on police overtime. This spending has been supported by both City and non-City funding. The total Fiscal 2014 uniform overtime budget (including non-City funds) is \$430.5 million. In the past five fiscal years, total uniform overtime expenditures averaged \$484.6 million. The five year average includes City and non-City funding. Quarterly overtime expenditure reports received by Council do not reflect spending by funding source but by

categories such as events, new arrests, and investigations. The Fiscal 2015 Executive Budget for uniform overtime totals \$417.7 million with city funded overtime totaling \$410 million.

In the Council's Preliminary Budget Response, the Council called for overtime controls in the Department to reduce spending. No actions to address overtime spending were included in the Fiscal 2015 Executive Budget. In fact, while exceptionally modest, the City-funded uniform overtime budget increases by \$3.06 million for Vision Zero initiatives rather than adding additional headcount.

Budget Transparency

The Department's budget currently appropriates \$2.91 billion of the Department's \$4.71 billion budget in unit of appropriation 001- Operations-PS for Fiscal 2015. U/A 001 covers 11 program areas as defined by OMB's BFA. The Council proposed breaking out U/A 001 to six new units of appropriation for transparency along programmatic areas. Some suggested units of appropriation are patrol/precincts, patrol support units, and special operations. The inclusion of more units of appropriation allows for greater budget accuracy and control transparency. The Fiscal 2015 Executive Budget does not include any new units of appropriation.

Fiscal 2015 Executive Budget				
UA	Description	Budget	% of Budget	Positions
001	Operations - PS	\$2,911,333	61.8%	29,585
100	Operations - OTPS	\$70,275	1.5%	
002	Executive Management - PS	399,359	8.5%	3,461
200	Executive Management - OTPS	13,917	0.3%	
003	School Safety - PS	243,021	5.2%	5,375
300	School Safety - OTPS	4,904	0.1%	
004	Administration - PS	226,175	4.8%	2,024
400	Administration - OTPS	241,226	5.1%	
006	Criminal Justice - PS	86,979	1.8%	640
600	Criminal Justice - OTPS	354	0.0%	
007	Traffic Enforcement - PS	121,976	2.6%	2,888
700	Traffic Enforcement - OTPS	10,588	0.2%	
008	Transit Police	216,792	4.6%	3,165
009	Housing Police	164,333	3.5%	1,991
Total		\$4,711,232	100.0%	49,129

NYPD Capital Program

The Executive 2015 Capital Commitment Plan includes \$784.8 million in Fiscal 2014-2018 for the Police Department (including City and Non-City funds). This represents approximately 1.6 percent of the City's total \$48.9 billion Executive Plan for Fiscal 2014-2018. The Department's Executive Commitment Plan for Fiscal 2014-2018 is 7.31 percent greater than the \$731.4 million scheduled in the Preliminary Commitment Plan, an increase of \$53.5 million.

The majority of capital projects span multiple fiscal years, and it is, therefore common practice for an agency to roll unspent capital funds into future fiscal years. In Fiscal Year 2013, the Police Department committed \$88.5 million or 41.1 percent of its annual capital plan. Therefore, it is assumed that a significant portion of the agency's Fiscal 2014 Capital Plan will be rolled into Fiscal 2015, increasing the size of the Fiscal 2015-2018 Capital Plan as reflected in the chart below.

Since adoption last June, the City-wide total Capital Commitment Plan for Fiscal 2014 has decreased from \$20.9 billion in the Adopted Capital Commitment Plan to \$14.2 billion in the Executive Capital Commitment Plan, a decrease of \$6.7 billion or 32.1 percent.

NYPD 2014-2018 Capital Commitment Plan

<i>Dollars in Thousands</i>	FY14	FY15	FY16	FY17	FY18	Total
Preliminary Plan	\$497,063	\$66,709	\$47,028	\$51,735	\$68,824	\$731,359
Executive Plan	\$305,884	\$156,826	\$94,054	\$150,411	\$77,668	\$784,843
Change	(\$191,179)	\$90,117	\$47,026	\$98,676	\$8,844	\$53,484
Percentage Change	-38.46%	135.09%	100.00%	190.73%	12.85%	7.31%

Major Capital Projects Highlights

The Police Department's Executive 2015 Capital Commitment Plan grew by \$53.5 million for Fiscal 2014 – Fiscal 2018. The Plan also pushes a significant portion of the Department's capital plans into the outyears of the Plan, as shown in the table above. This push-out helps to better reflect the expected commitment schedule of the Department's capital projects. The Council requested that the Administration present a more accurate Capital Plan with planned commitment shown in the fiscal year that the commitments were likely to be made in, rather than front loading most of the Plan. While the push –out is significant, once uncommitted funds roll from Fiscal 2014 to 2015 at year-end the NYPD will again have excess capital funding in the first year of its capital plan.

The Fiscal 2015 Capital Plan increases by \$90.1 million or 135.1 percent to \$156.8 million from the Preliminary Plan total of \$66.7 million. The Five Year Capital strategy includes replacement, reconstruction and maintenance of police facilities Citywide, upgrade or replacement of communication and computer equipment, and replacement of transportation equipment.

NYPD's 2015-2018 Four Year Capital Commitment Plan of \$478.9 million includes:

- \$254.7 million for Police Facilities
- \$158.7 million for Communications and Computer Equipment
- \$12.1 million for Miscellaneous Equipment
- \$53.4 million for Vehicles

Precinct Renovations and Rehabilitation

In City Council's Preliminary Budget Response, the Council called on the Administration to undertake a comprehensive assessment of precinct conditions and reprioritize the Capital Budget to focus on replacing and renovating outdated precincts. In the Department's follow up letter to the Fiscal 2015 Preliminary Budget hearing, the Department highlighted seven precincts in need of major renovations, repairs or new precinct houses. The capital commitment plan includes three of the seven precincts mentioned. According to the Administration the three precincts prioritized in the Plan were chosen based on renovation needs, land rights, and space availability during renovations. The Department's Fiscal 2015 Executive Budget adds \$250,000 in expense funding each year to cover painting and cleaning supplies to improve public facing areas in City's precincts. However, the funding level for precinct renovation and rehabilitation does not fully address the Council's concern that many of the City's precincts remain in poor and outdated conditions. Below are the three precincts prioritized in the Department's Capital Commitment Plan:

- Construction of a new 40th Precinct in the South Bronx. The current projected cost of the construction is \$68.9 million. This estimate will be revised once design is complete. The cost estimate does not include land acquisition because the City owes an appropriate lot for the project nearby the current 40th Precinct location. The Administration did not provide a construction schedule.
- Renovations to Brooklyn North Narcotics \$20.3million
- Renovation of 13th Precinct in Manhattan. This precinct is housed within the same building as the Police Academy which the Precinct will be able to use as swing space when construction begins. The budget includes \$20 million for this project.

Other major capital projects included in the current plan are:

- World Trade Security Plan- \$22.7 million was pushed out from Fiscal 2014 to Fiscal 2015. This project will fund construction of four points of egress at the World Trade Center site.
- Police Academy in Queens - \$21.4 million rolled out to Fiscal 2015 finalize Phase 1 of the building. The Academy is set to host its first Police cadet class in January 2015, which is at least a year later than originally expected.
- Case Management System for Risk Assessment and Compliance Unit adds \$4 million.
- Traffic Accident Management system for Vision Zero Initiative adds \$1.4 million, which will upgrade the collision reporting system.
- Purchase of Harbor Marine launches adds \$13.6 million.

Appendix 1: NYPD Fiscal 2015 Executive Budget Actions

<i>Dollars in Thousands</i>	FY 2014			FY 2015		
	City	Non-City	Total	City	Non-City	Total
NYPD Preliminary Fiscal 2015 Budget	\$4,378,337	\$561,554	\$4,939,891	\$4,351,190	\$325,882	\$4,677,072
New Needs						
Building Painting and Cleaning	\$0	\$0	\$0	\$250	\$0	\$250
Collaborative Policing	0	0	0	1,285	0	1,285
FY15 NYCHA Payment Relief	0	0	0	70,000	(69,082)	918
Gunshot Detection Pilot	0	0	0	500	0	500
IT Maintenance and Support	0	0	0	4,311	0	4,311
Risk Assessment and Compliance	0	0	0	4,874	0	4,874
Vision Zero	4,103	0	4,103	13,301	0	13,301
Subtotal New Needs	\$4,103	\$0	\$4,103	\$94,522	(\$69,082)	\$25,440
Other Adjustments						
Gun Buy Back Program	(\$105)	\$0	(\$105)	\$0	\$0	\$0
CB Elevator Mechanics	19	0	19	62	0	62
Fuel	332	0	332	(19)	0	(19)
Gasoline	(2,026)	0	(2,026)	200	0	200
Heat, Light, and Power	1,047	0	1,047	2,433	0	2,433
Lease Adjustment	0	0	0	2,324	1,534	3,858
Lease Adjustment.	0	0	0	(147)	0	(147)
Lease/Facility Utility Costs	0	0	0	583	0	583
Parking Enforcement Division Vehicles	360	0	360	0	0	0
Other Categorical Adjustments	0	6,845	6,845	0	0	0
State Funding Adjustments	0	919	919	0	255	255
Federal Funding Adjustments	0	15,144	15,144	0	1,495	1,495
Intra-City Funding Adjustments	0	115	115	0	0	0
Subtotal Other Adjustments	(\$374)	\$23,023	\$22,649	\$5,437	\$3,284	\$8,721
Total All Changes	\$3,729	\$23,023	\$26,752	\$99,959	(\$65,798)	\$34,160
NYPD Executive Fiscal 2015 Budget	\$4,382,066	\$584,577	\$4,966,643	\$4,451,149	\$260,084	\$4,711,232

Appendix 2: NYPD Budget Actions since Fiscal 2014 Adoption

<i>Dollars in Thousands</i>	FY 2014			FY 2015		
	City	Non-City	Total	City	Non-City	Total
NYPD Adopted Fiscal 2014 Budget	\$4,319,320	\$437,542	\$4,756,862	\$4,335,235	\$309,230	\$4,644,465
New Needs						
Police Communication Technician (PCT) Headcount Increase	\$4,679	\$0	\$4,679	\$7,093	\$0	\$7,093
Intergraph Computer Aided Dispatch Maintenance (ICAD)	0	0	0	3,526	0	3,526
Real Time Crime Center Maintenance (RTCC)	0	0	0	1,281	0	1,281
Manhattan Traffic Enforcement Division Lease Costs	0	0	0	1,426	0	1,426
Queens Tow Pound Relocation	0	0	0	230	0	230
WTC Command Substation Lease	96	0	96	0	0	0
Community Affairs Bureau	1,134	0	1,134	1,051	0	1,051
FY14 NYCHA Payment Relief	52,500	(52,500)	0	0	0	0
Building Painting and Cleaning	0	0	0	250	0	250
Collaborative Policing	0	0	0	1,285	0	1,285
FY15 NYCHA Payment Relief	0	0	0	70,000	(69,082)	918
Gunshot Detection Pilot	0	0	0	500	0	500
IT Maintenance and Support	0	0	0	4,311	0	4,311
Risk Assessment and Compliance	0	0	0	4,874	0	4,874
Vision Zero	4,103	0	4,103	13,301	0	13,301
Subtotal New Needs	\$62,512	(\$52,500)	\$10,012	\$109,128	(\$69,082)	\$40,046
Other Adjustments						
Citywide Tow Operations System (CTOPS) Maintenance and Licenses	\$895	\$0	\$895	\$1,348	\$0	\$1,348
NYPD Mobile Applications	(287)	0	(287)	0	0	0
Anti-Gun Violence Initiative/Gun Buy Back Program	(105)	0	(105)	0	0	0
CB Elevator Mechanics	19	0	19	62	0	62
Fuel	332	0	332	(19)	0	(19)
Gasoline	(2,026)	0	(2,026)	200	0	200
Heat, Light, and Power	1,047	0	1,047	2,433	0	2,433
Lease Adjustment	0	0	0	2,324	1,534	3,858
Lease Adjustment.	0	0	0	(147)	0	(147)
Lease/Facility Utility Costs	0	0	0	583	0	583
Parking Enforcement Division Vehicles	360	0	360	0	0	0
Other Categorical Adjustments	0	27,605	27,605	0	0	0
State Funding Adjustments	0	10,378	10,378	0	255	255
Federal Funding Adjustments	0	160,281	160,281	0	18,102	18,102
Intra-City Funding Adjustments	0	1,269	1,269	0	44	44
Subtotal Other Adjustments	\$234	\$199,533	\$199,767	\$6,785	\$19,935	\$26,720
Total All Changes	\$62,746	\$147,033	\$209,779	\$115,913	(\$49,147)	\$66,765
NYPD Executive Fiscal 2015 Budget	\$4,382,066	\$584,575	\$4,966,641	\$4,451,148	\$260,083	\$4,711,230